

# 6<sup>th</sup> Hans Liebe Lecture

## Pushing ground-based microwave radiometry: from uncertainty to networking

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# Foreword: “pushing” vs. “fostering”

## Dictionary



**pusher**

*/ˈpuʃə/*

*noun*

1. **INFORMAL**  
a person who sells illegal drugs.  
"an underworld of thugs, drug pushers, and thieves"
2. a person or thing that pushes something.  
"the checkout trolley pushers"



Translations, word origin, and more definitions

# Foreword: Imposter syndrome

- Imposter feelings:
  - I can't do this
  - Who am I to be doing this?
  - I will be found out
- Imposter syndrome moments
  - Speaking in public (specially in front of world-class audience)
- A research on researchers found out that:
  - ~30% of the researchers are affected
  - ~70% of researchers have symptoms
- Severe attack of Imposter feelings when I got this invitation!



# Foreword: Imposter syndrome

- Who am I to receive this honor and talk about radio science in front of this world-class audience?
- Tips to fight Imposter syndrome:
  1. Be brave and take action
- So I will do my best today to convince myself and (maybe) you that I'm not (totally) an imposter (in this particular occasion)...



# Outline

- Intro: why ground-based microwave radiometry?
- Background
- Recent achievements towards operational exploitation, e.g. NWP
- Focus on uncertainty quantification
- Summary and conclusions



## Intro: Why ground-based microwave radiometry?

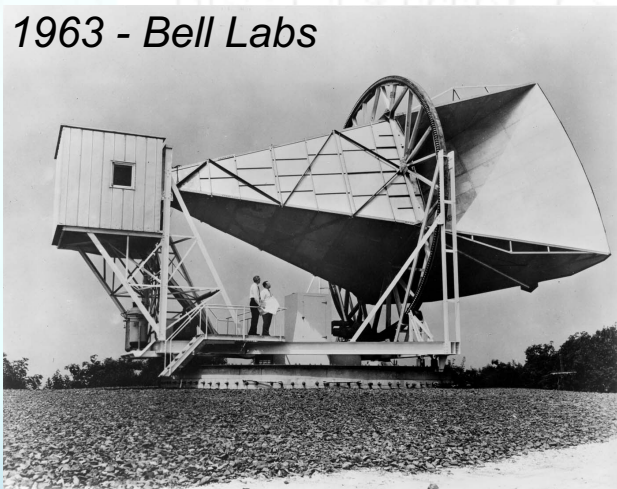
- Passive technique: natural emission from the atmosphere (brightness temperature  $T_B$ )
- Microwave radiometers (MWR) are nowadays robust, (nearly) all-weather, unattended instruments
- Real-time continuous measurements of atmospheric essential variables
  - Temperature and humidity profiles
  - Total column water vapor and cloud liquid amounts



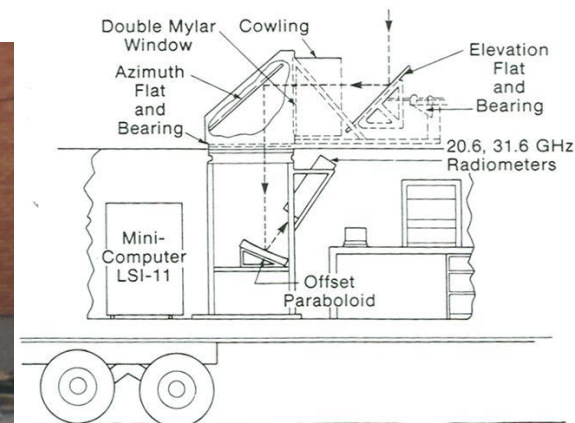
# Intro: MWR history in 1-slide

- First experiments in 1960s

1963 - Bell Labs



1978 - NOAA

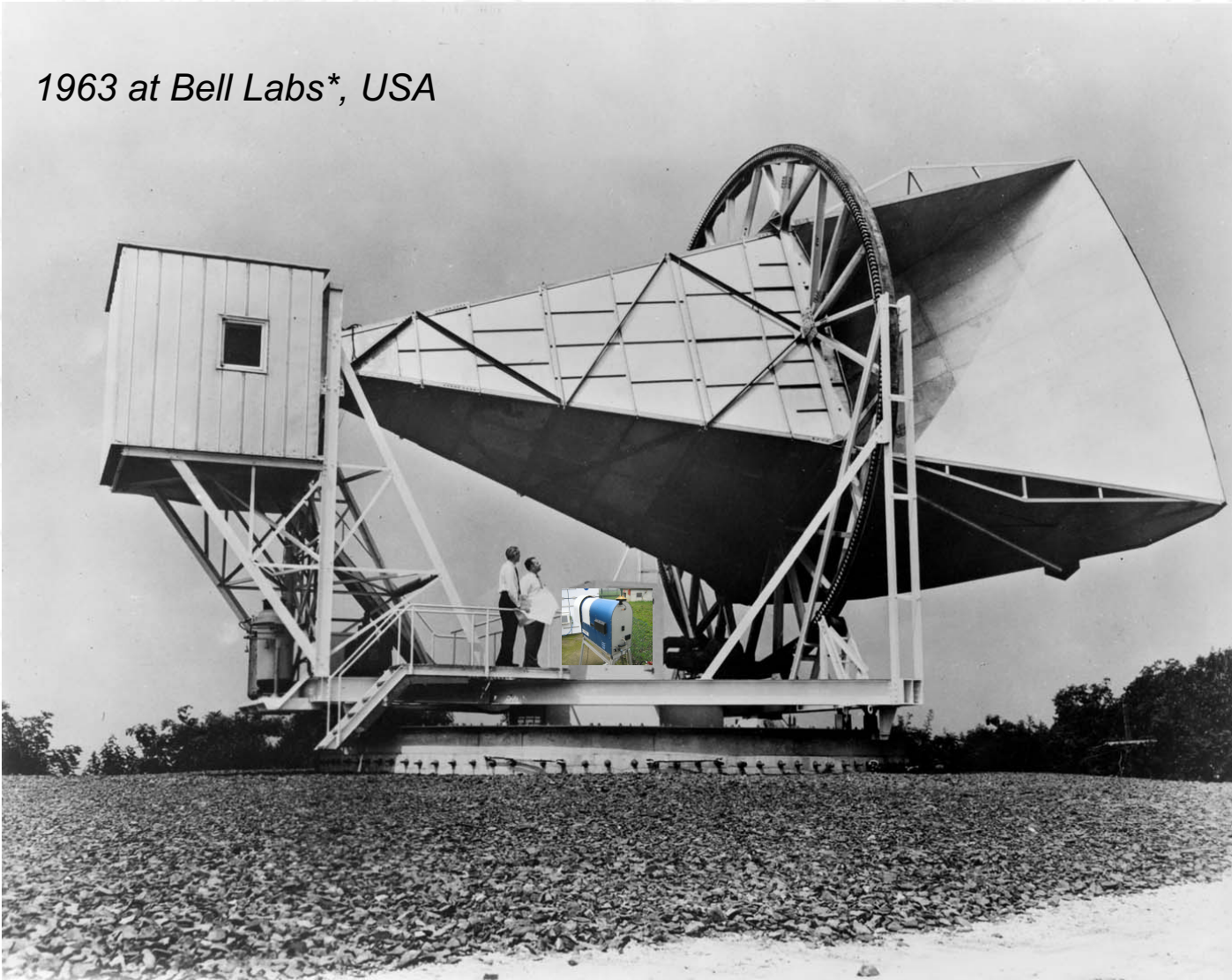


- Commercial units in late 1980s



## Intro: MWR history in 1-slide

*1963 at Bell Labs\*, USA*



\*Penzias and Wilson: Nobel Prize in Physics 1978 for discovering the cosmic microwave background radiation



# Background

## MW atmospheric absorption models

- Theoretical models (+ code) that describe the absorption/emission (i.e. extinction coefficient) of MW wavelengths by atmospheric gases
- Classic paper (H. Liebe 1989) introducing the Millimeter-wave Propagation Model (MPM)
  - a theoretical model (based on quantum mechanics)
  - relies on parametrized equations and spectroscopic parameters (valid between 0-1000 GHz)

$$A = f(\mathbf{p})$$

$$T_B = F(A) = F(f(\mathbf{p}))$$

- MPM is still widely used (with modified parameterization)
  - Different parameterization are continuously proposed/validated with laboratory and field experiment



# Background

$$\underbrace{T_B(0)}_{\text{Measured quantity}} = \underbrace{T_{bkg} \cdot e^{-\tau(TOA,0)}}_{\text{Cosmic background term (attenuated along the path)}} + \underbrace{\int_{TOA}^0 k_E(r') \cdot T(r') \cdot e^{-\int_{r'}^0 k_E(r') dr'}}_{\text{Atmospheric term (emitted \& attenuated along the path)}} dr'$$

$$d\tau = k_E(r) dr$$

$$\tau(r) = \int_0^r k_E(r') \cdot dr'$$

TOA:  $\infty$

Cosmic background  $T_{bkg}$

Atmosphere

Attenuation  $e^{-\int_0^r k_E(r') dr'}$

$dr$

Emission  $k_E(r) \cdot T(r) \cdot dr$

$r$

Attenuation  $e^{-\int_0^r k_E(r') dr'}$

MWR

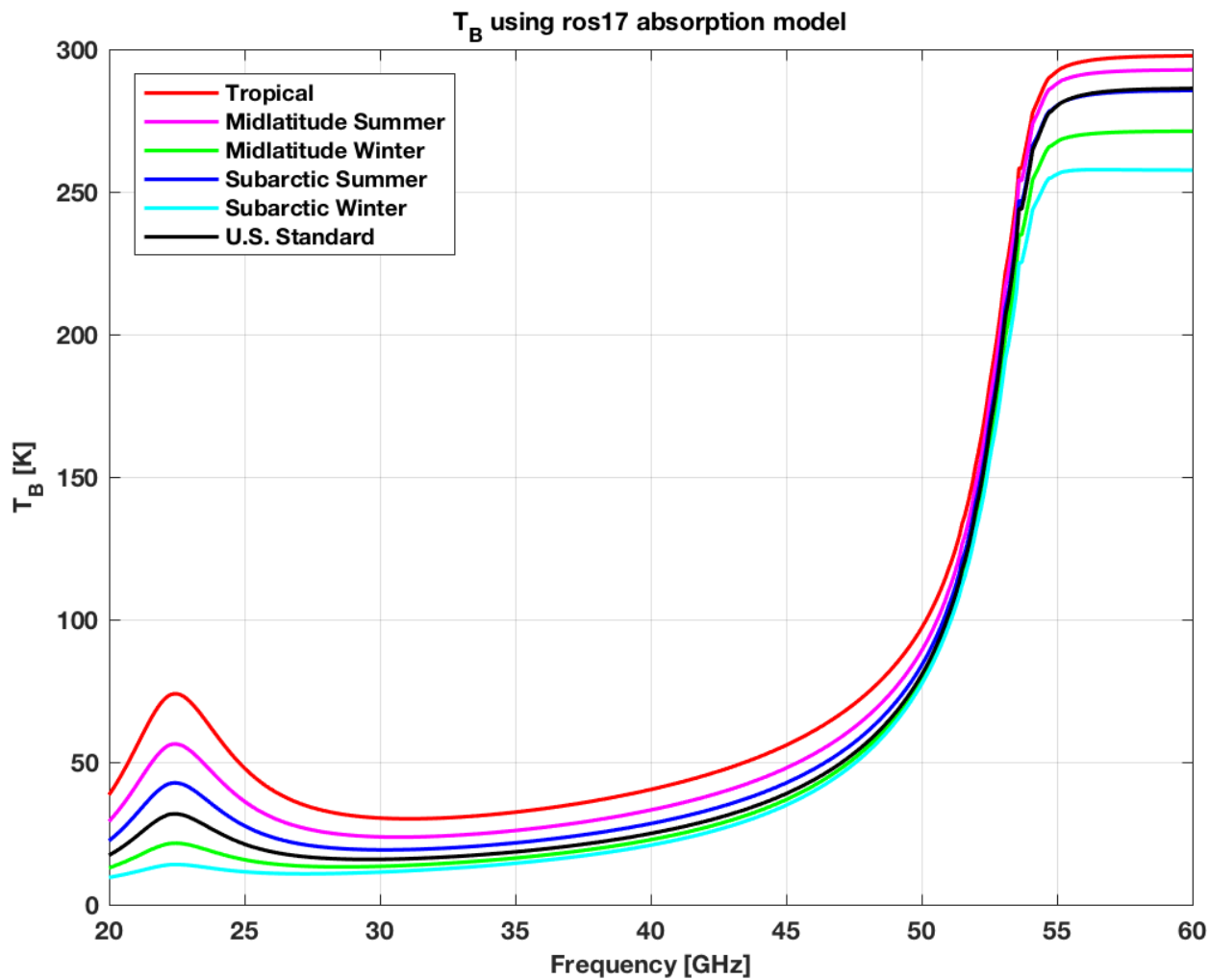


Surf: 0



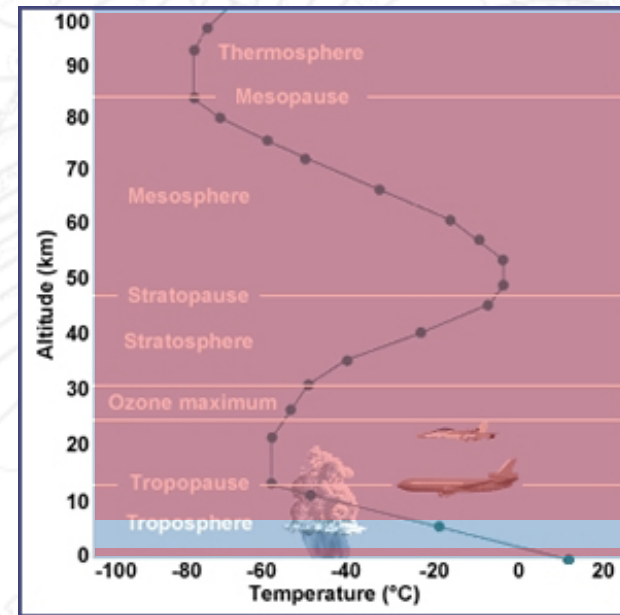
# Background

- Typical  $T_B$  spectrum at 20-60 GHz



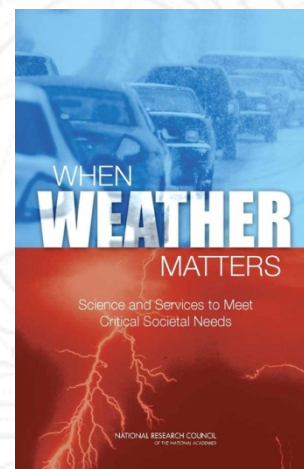
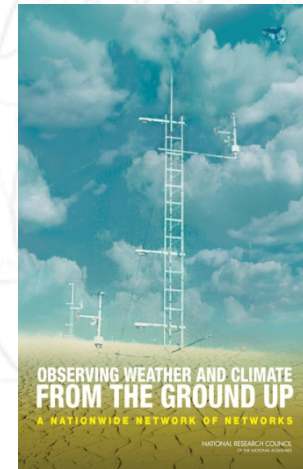
# Motivations

- The planetary boundary layer (PBL) is the single most important under-sampled part of the atmosphere\*
- Observation gap in the PBL, particularly important for forecasting:
  - air quality
  - severe weather initiation



## \*U.S. National Research Council Reports:

- Observing Weather and Climate from the Ground Up; A Nationwide Network of Networks (2009)
- When Weather Matters: Science and Service to Meet Critical Societal Needs (2010)



# Motivations

- **WMO\***: For NWP the top-priority atmospheric variables not currently adequately measured
  - wind profiles
  - **temperature and humidity profiles (in cloudy areas)**
- T and H profiles can be obtained by ground-based MWR
- **Yet MWR observations are not assimilated by any NWP system**

\***WMO guidance on observations for NWP:**

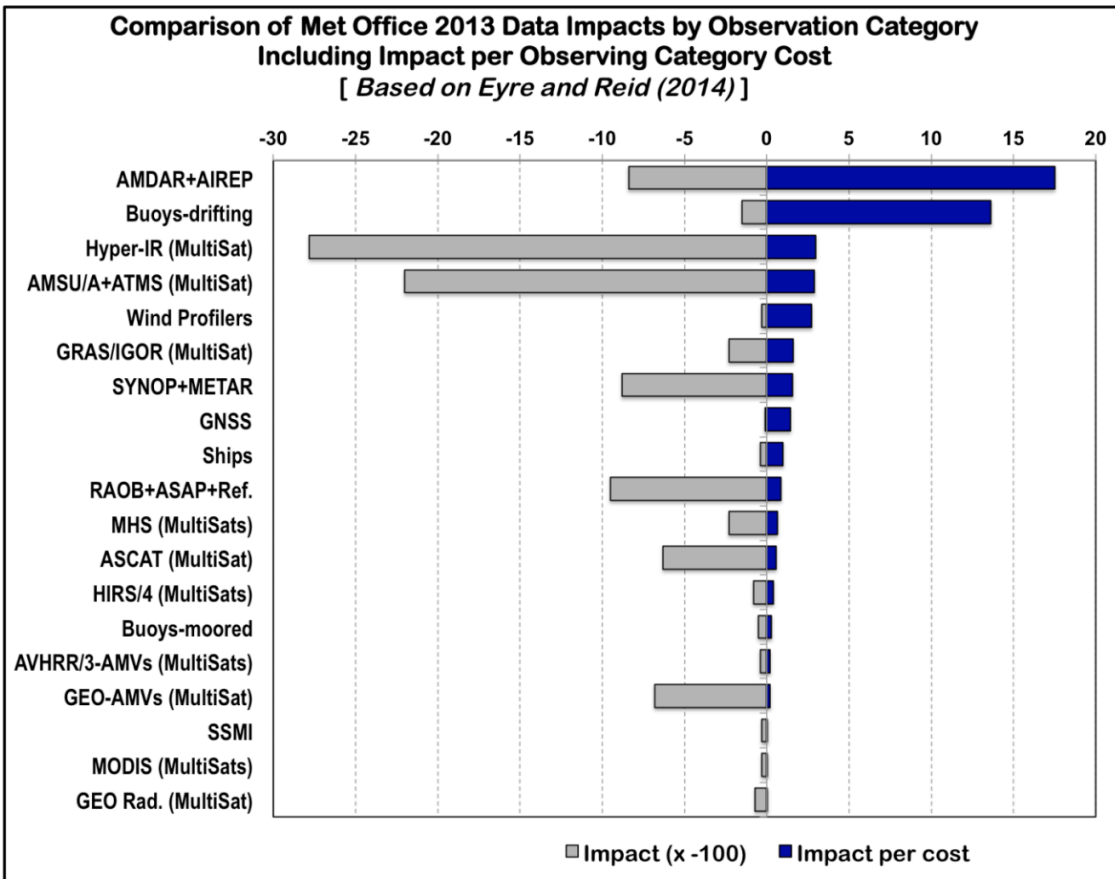
<https://www.wmo.int/pages/prog/www/OSY/GOS-RRR.html>



# Motivations

Ground-based networks can have impact per cost comparable to satellite IR or MW obs

## Observation impact on NWP



\*Eyre and Reid, 2014



# Previous MWR Data Assimilation experiments

## Vandenberghe and Ware (2002)

- **Obs:** One single MWR
- **Period:** One case study (3-hour data assimilation)
  - winter fog event at Denver Airport (missed by NWP)

## Otkin et al. (2011); Hartung et al. (2011)

- ❑ **Obs:** ~140 MWR (+other instr.)
  - OSSE: Observing System Simulation Experiment
- ❑ **Period:** One case study in continental U.S.
  - winter storm case

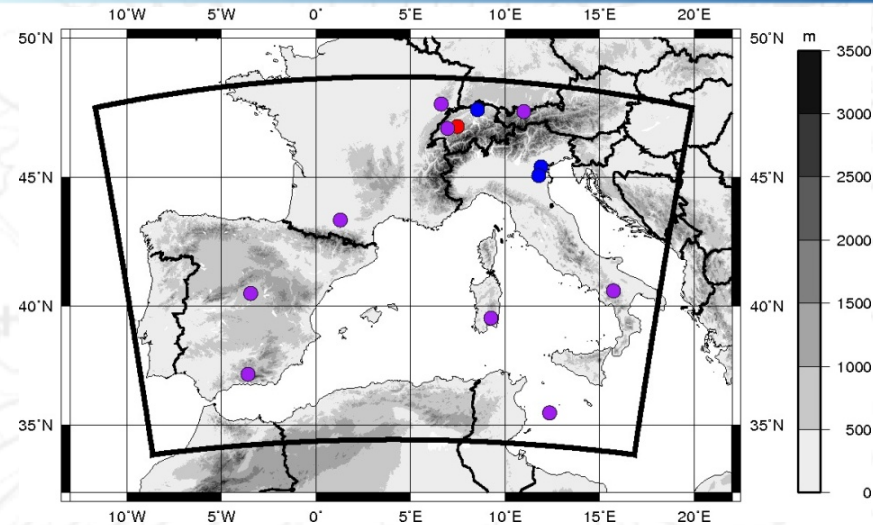
## Caumont et al. (2016)

- ❑ **Obs:** 13 MWR
  - OSE: Observing System Experiment
- ❑ **Period:** ~2 months (Oct-Nov, 2011)
  - Western Mediterranean

First DA experiment of  
a real MWR network  
(retrievals)

# MWR Data Assimilation Experiment

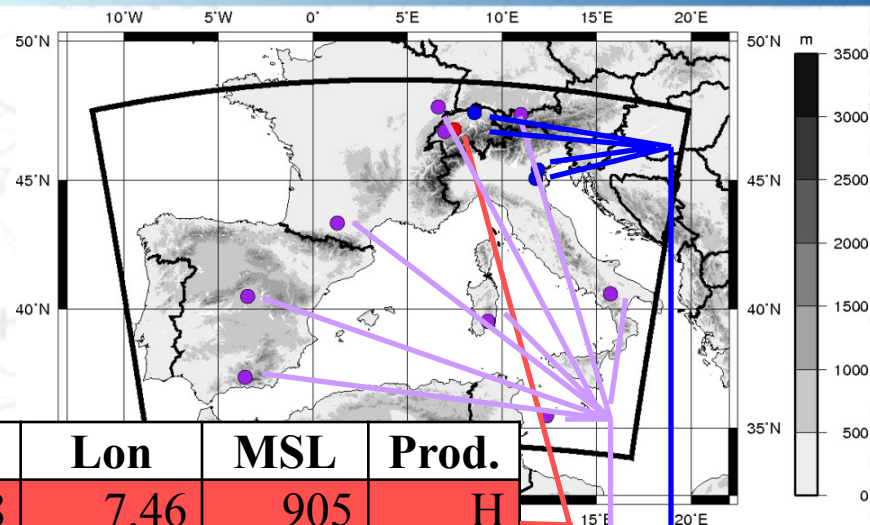
- Data set
  - ~2 months (Oct-Nov, 2011)
  - 13 MWR
    - H profilers (1)
    - T profilers (3)
    - T&H profilers (9)
  
- Western Mediterranean (WMed) domain
  
- Arome-WMed NWP system (Météo-France)
  - 2.5 km horizontal resolution
  - 3DVAR assimilation every 3 h





# MWR Data Assimilation Experiment

- Data set
  - ~2 months (Oct-Nov, 2011)
  - 13 MWR
    - H profilers (1)
    - T profilers (3)
    - T&H profilers (9)



Station	Institution	Lat	Lon	MSL	Prod.
Bern	IAP	46.88	7.46	905	H
Cagliari	INAF/OAC	39.5	9.24	623	T, H
Granada	CEAMA-UGR	37.16	-3.6	683	T, H
Kloten	MeteoSwiss	47.48	8.53	436	T
Lampedusa	ENEA	35.51	12.34	50	T, H
Madrid	UniLeon	40.49	-3.46	620	T, H
Padova	ARPAV	45.4	11.89	30	T
Payerne	MeteoSwiss	46.82	6.95	491	T, H
Potenza	IMAA/CNR	40.6	15.72	760	T, H
Rovigo	ARPAV	45.07	11.78	23	T
Schaffhausen	MeteoSwiss	47.68	8.62	437	T, H
Schneefernerhaus	UniCologne	47.42	10.98	2650	T, H
Toulouse	ONERA	43.38	1.29	144	T, H



# MWR Data Assimilation Experiment

- Control (CTRL) run assimilate data from:
  - radiosondes
  - wind profilers
  - aircrafts
  - ships
  - buoys
  - automatic weather stations
  - satellite radiometers
  - weather radars
  - ground-based GPS
  - GPS radio-occultation



**....very little room to make an impact!**

# Previous MWR DA experiments

Main conclusions from Caumont et al., 2016:

- Neutral-to-positive impact:
  - MWR data can be safely assimilated
  - MWR data provide useful information to NWP
- More positive impact expected from:
  - Denser network
  - Improved data quality control
  - Direct  $T_B$  assimilation (instead of T and Q retrievals)

# Forward model development

- For direct  $T_B$  assimilation, a fast forward model (FM) is needed
  - Adapted RTTOV (developed for satellite DA) → RTTOV-gb
- Compared against reference model (MPM)
  - $T_B$  differences less than typical MWR uncertainties
- RTTOV-gb well suited for variational data assimilation
  - now in experimental use at:
    - Universities: U. Köln (Germany), Ewha Univ. (South Korea)
    - National Weather Services: Meteo-France, DWD (Germany)
- RTTOV-gb is distributed by MetOffice similarly to RTTOV



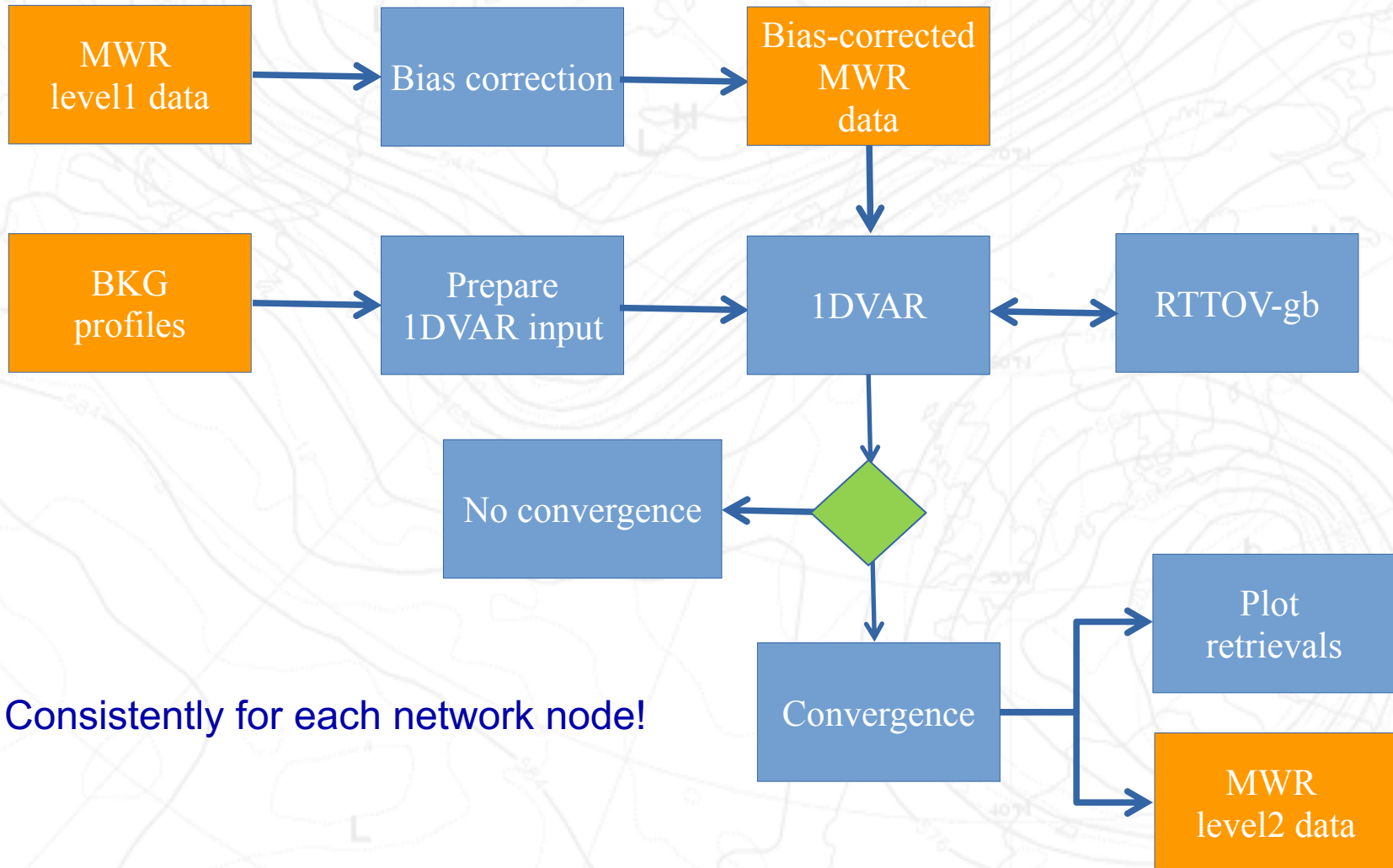
# Inverse model development

- One-Dimensional Variational (1DVAR) retrieval coupled with RTTOV-gb
- Develop a flexible processing chain to perform 1DVAR retrievals on different instruments and configurations
  - **Net1D** (Network 1DVAR)



# Inverse model development

## Net1D processing chain



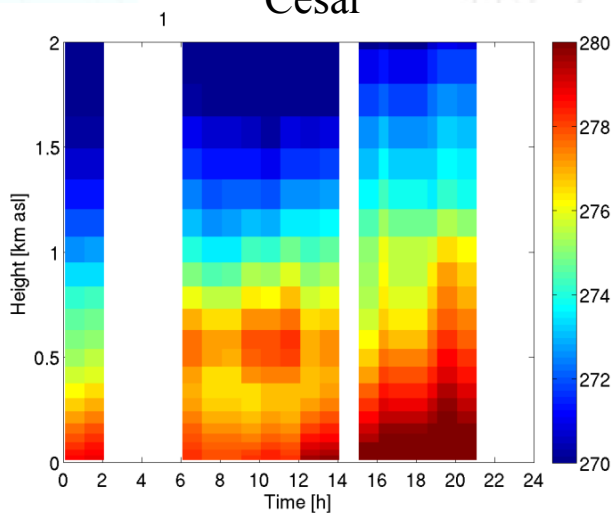
Consistently for each network node!



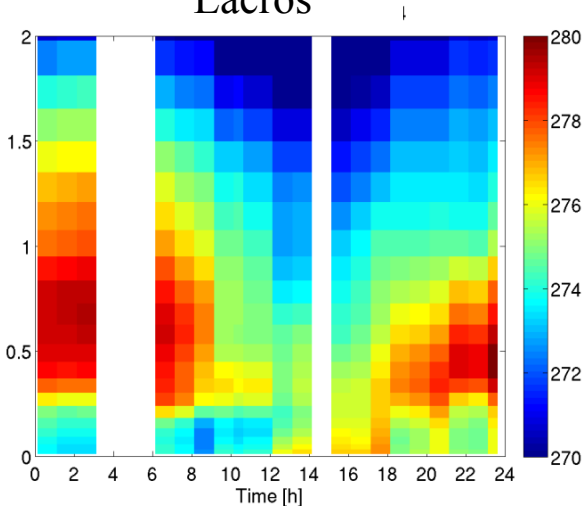
# Network 1DVAR

## Temperature (K) profile retrievals

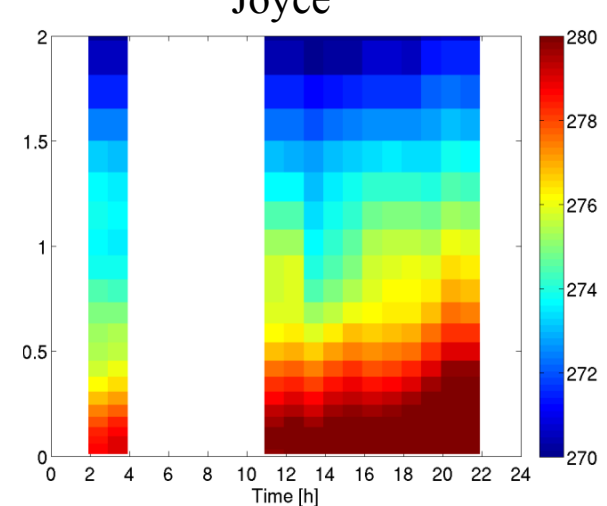
Cesar



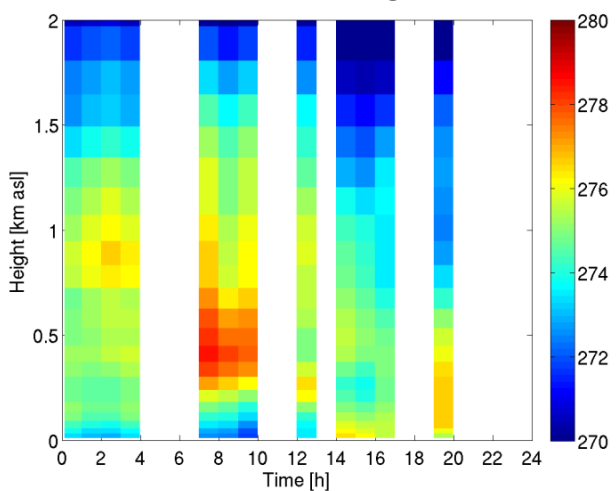
Lacros



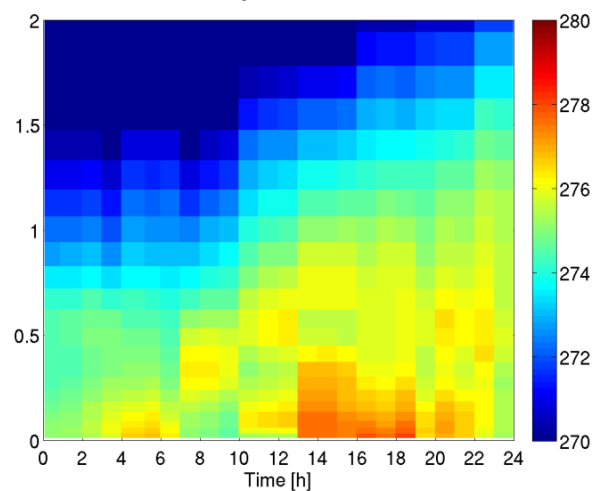
Joyce



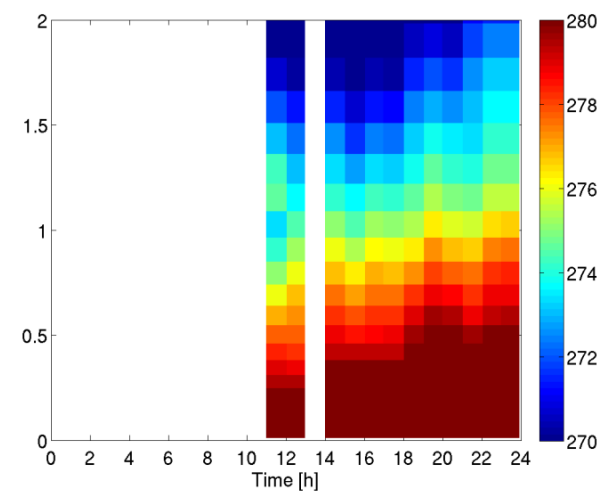
Lindenberg



Payerne

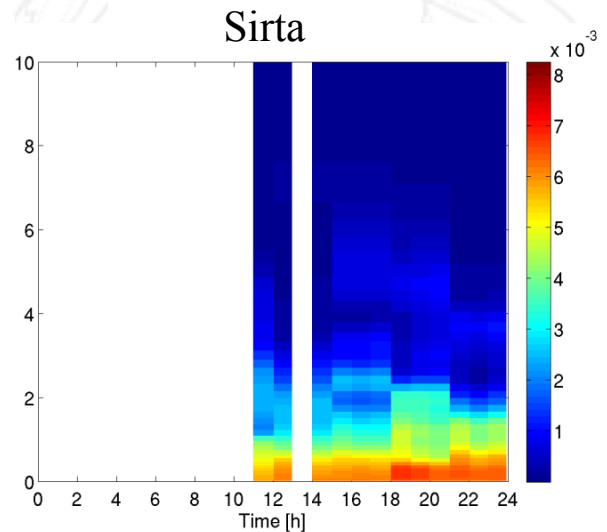
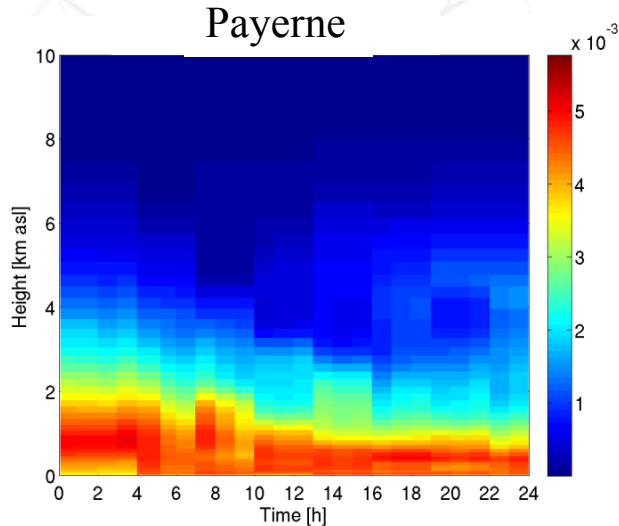
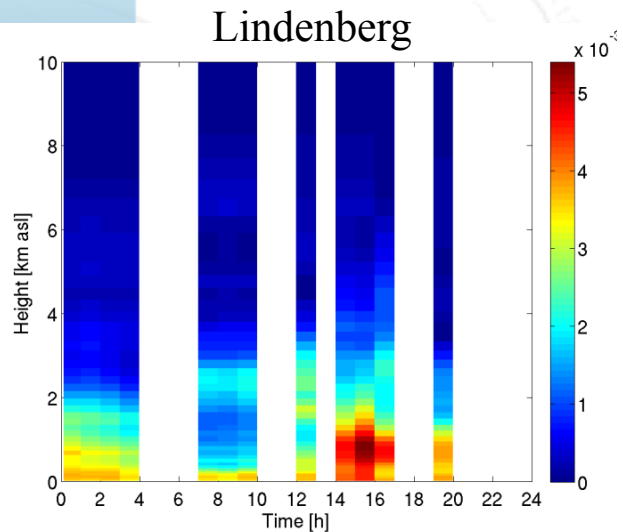
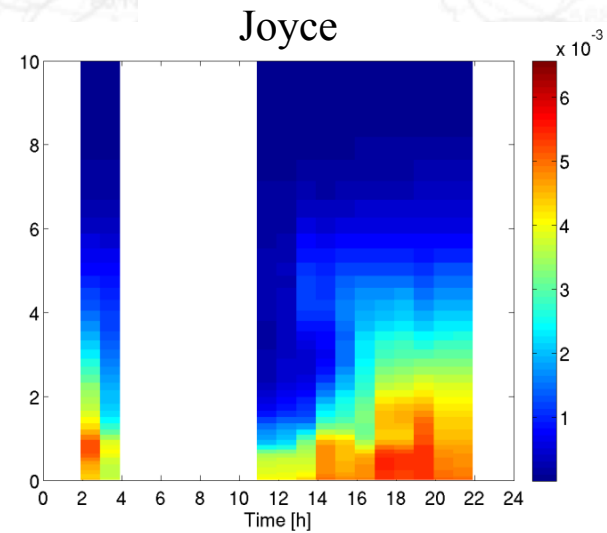
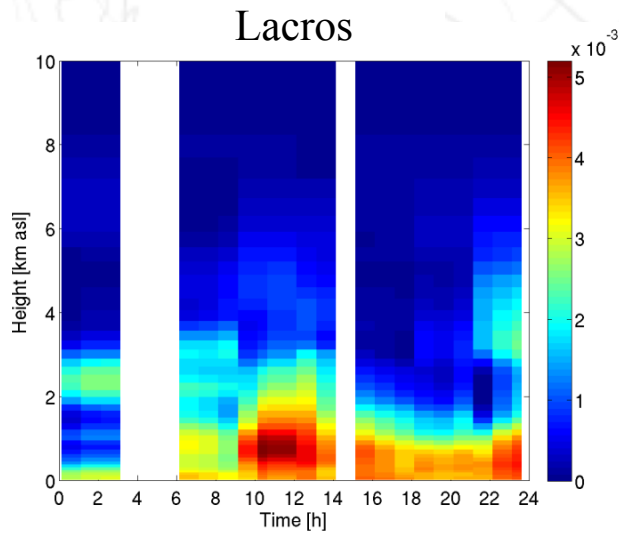
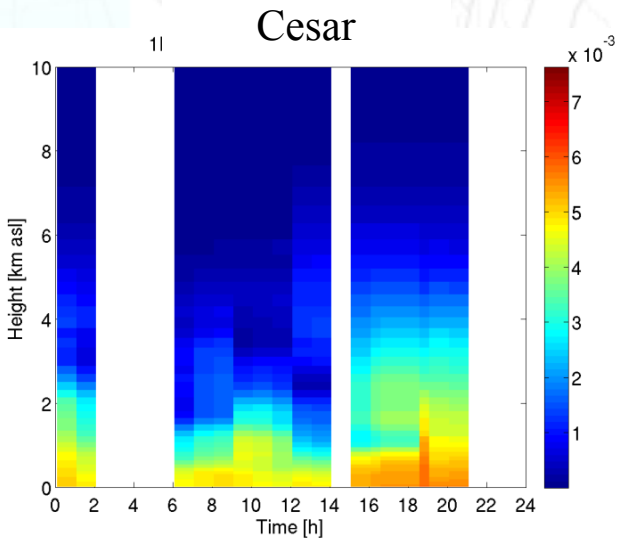


Sirta



# Network 1DVAR

## Absolute humidity ( $\text{kg/m}^3$ ) profile retrievals

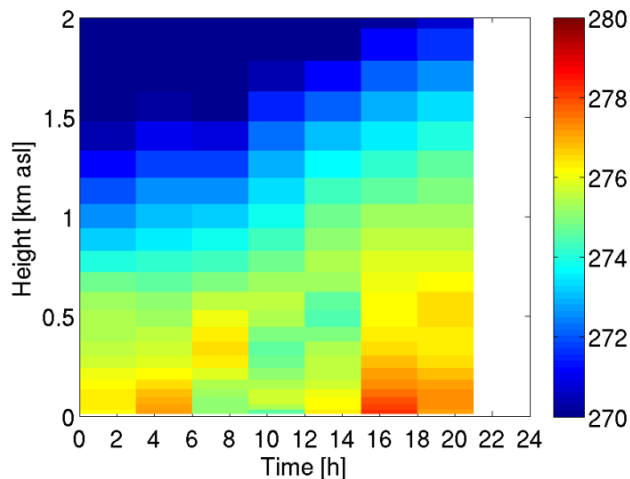




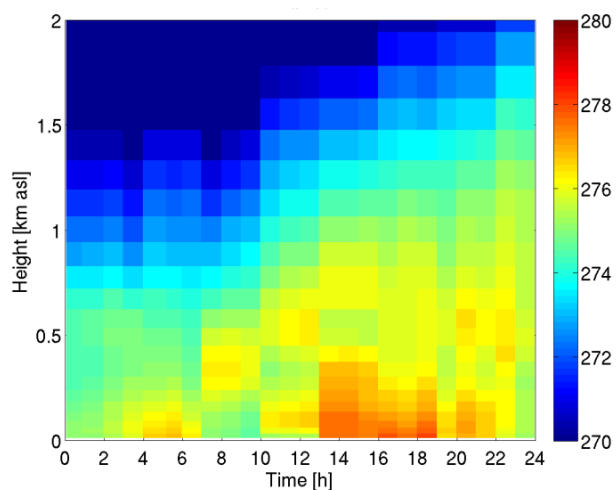
# Network 1DVAR

## Impact on the background

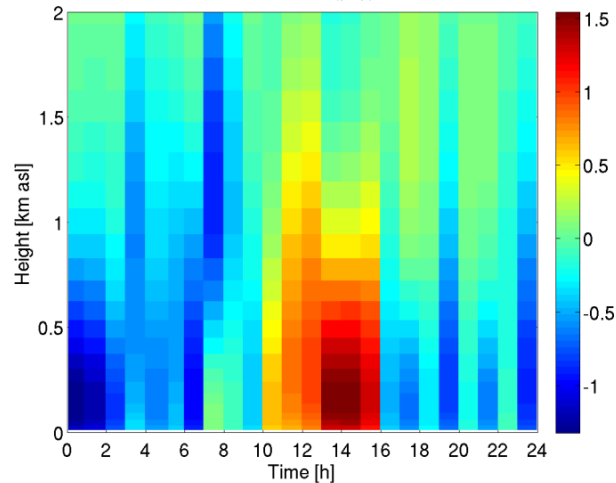
NWP T (K)



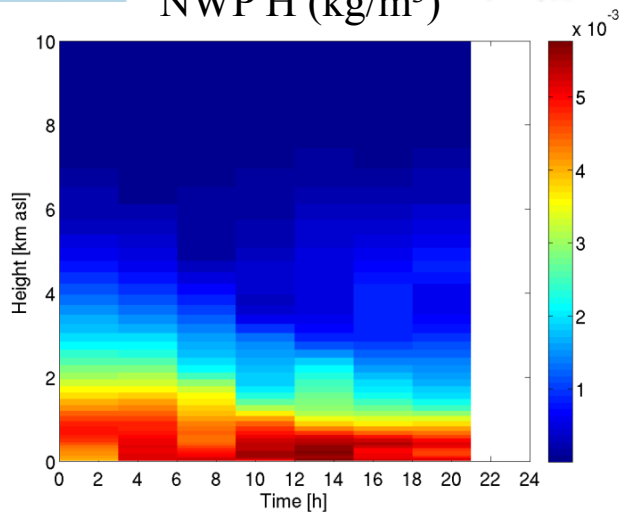
1DVAR T (K)



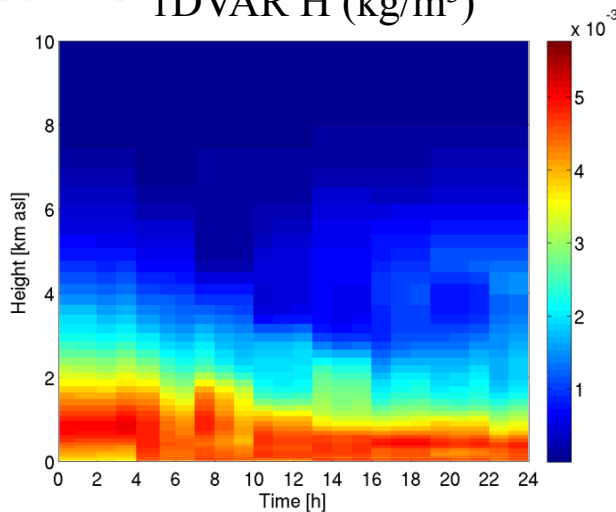
Increment (K)



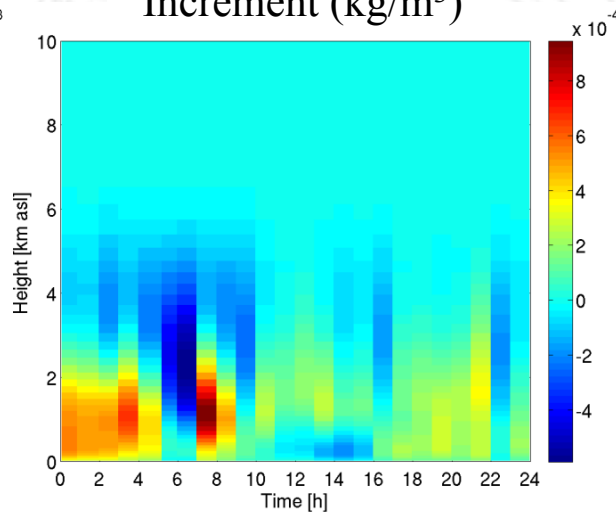
NWP H ( $\text{kg}/\text{m}^3$ )



1DVAR H ( $\text{kg}/\text{m}^3$ )



Increment ( $\text{kg}/\text{m}^3$ )



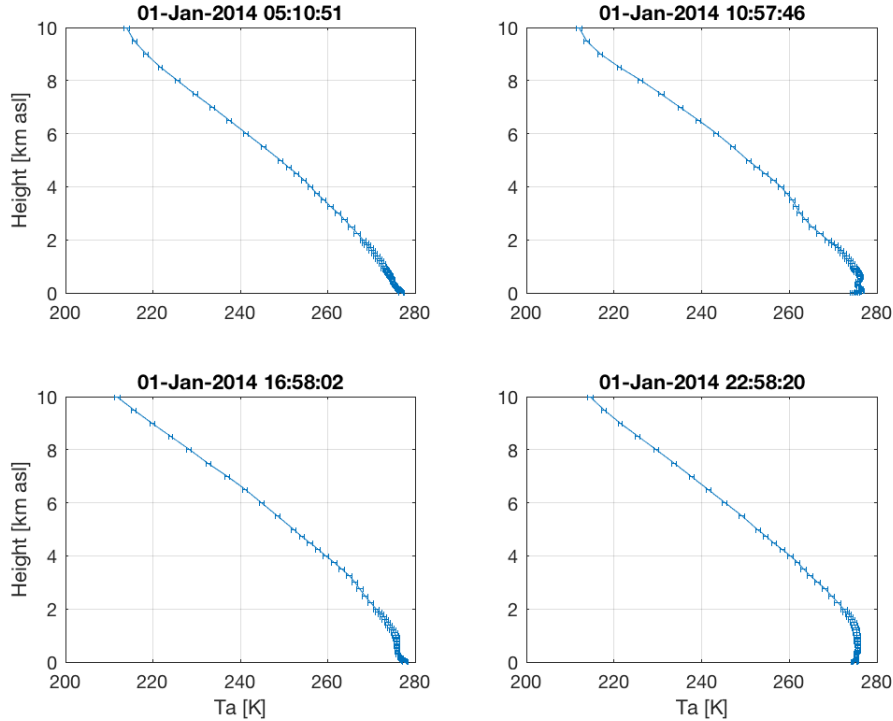
# Network 1DVAR

Net1D output: profiles with uncertainty estimate

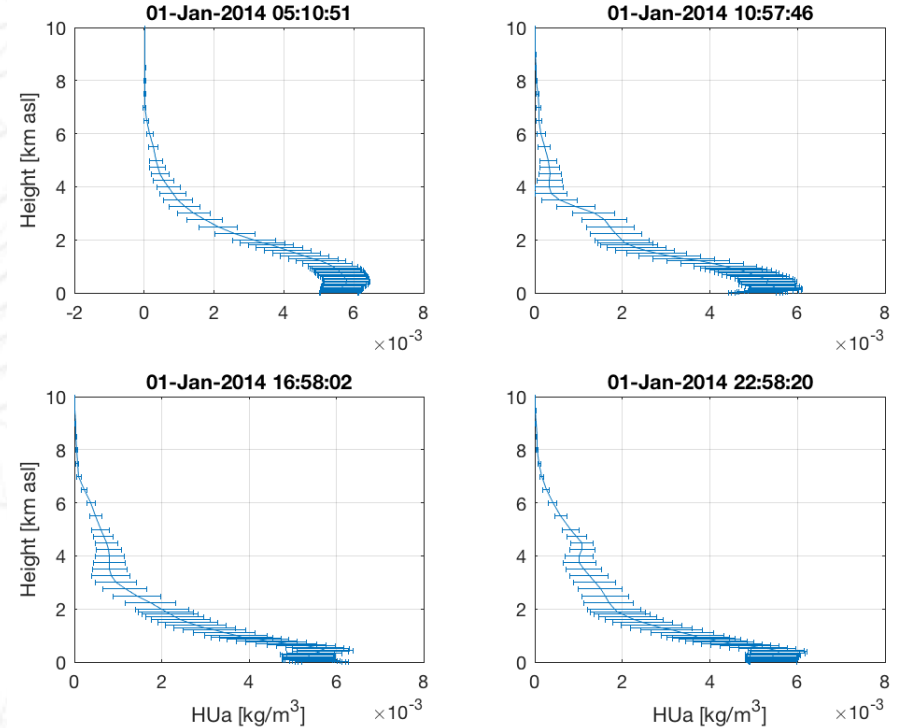
## Temperature

## Humidity

1DVAR Ret Ta (pay)



1DVAR Ret HUa (pay)



# The importance of uncertainty

One common requirement of NWP and climate applications is the careful quantification of uncertainty

*Le doute n'est pas une état bien agréable, mais l'assurance est un état ridicule (\*)*



**Voltaire** (François-Marie Arouet, 1694-1778)

Letter to Frederick William, Prince of Prussia (1770)

(\*) Doubt is not a pleasant condition, but certainty is a ridiculous one

# The importance of uncertainty

WMO-No. 8. Guide to Meteorological Instruments and Methods of Observation:

"All data are imperfect, but, if their **quality is known and demonstrable**(\*), they can be used appropriately"

(\*) i.e., if the uncertainty is quantifiable



# Uncertainty of atmospheric absorption models

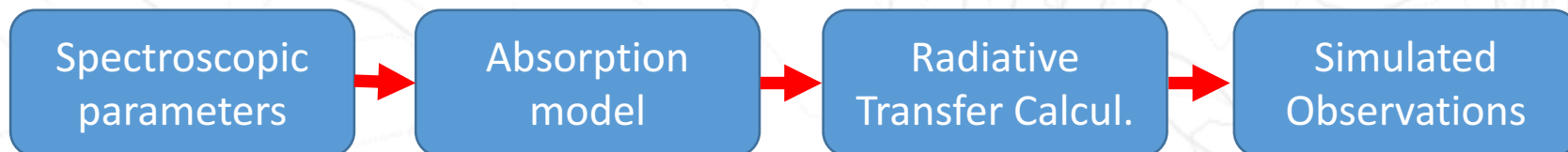
How can we quantify the uncertainty of atmospheric absorption models?

- Popular: evaluate different model and compute the difference
  - It provides only a relative estimate
- Rigorous: track uncertainty down to single contributions
  - i.e. spectroscopic parameters



# Uncertainty of atmospheric absorption models

- Atmospheric absorption models rely on parameterized equations
- Parameterized equations are based on spectroscopic parameters
  - spectroscopic parameters' values are determined through
    - (i) theoretical calculations
    - (ii) laboratory experiments
    - (iii) field measurements
  - Thus are inherently affected by uncertainty
    - Computational and/or experimental
- Uncertainty propagates...



# Uncertainty of atmospheric absorption models

- The spectroscopic literature provides uncertainty of individual parameters only (if you are lucky)
- Uncertainty affecting different parameters may be correlated
  - e.g., if values of two or more parameters are determined using the same laboratory experiment and settings, their uncertainty is correlated
- The full uncertainty covariance matrix should be estimated
- Lots of parameters, i.e. lots of work...



# Uncertainty of atmospheric absorption models

Four-step approach:

1. **Review state-of-the-art** of spectroscopic parameters and their uncertainties
2. Perform a **sensitivity study** to investigate the dominant uncertainty contribution to radiative transfer calculations
3. Estimate the full **uncertainty covariance matrix** for the dominant parameters
4. **Propagate the uncertainty** covariance matrix to estimate the uncertainty of simulated observations



# 1. Review state-of-the-art

- Take one absorption model
  - e.g., MPM, Rosenkranz 2017\*
- List the used parameters
  - Focus on 20-60 GHz
  - H<sub>2</sub>O and O<sub>2</sub>
- Review relevant literature, searching for uncertainty

\*<https://doi.org/10.21982/M81013>



# 1. Review state-of-the-art

## Water Vapor (Rosenkranz 2017)

### Continuum

4 parameters

Parameter [units]	Meaning	Value	Uncertainty	Reference
$C_f$ [km <sup>-1</sup> mb <sup>-2</sup> GHz <sup>-2</sup> ]	Foreign-broadened water vapor continuum coefficient	5.43e <sup>-10</sup>	5.56e <sup>-11</sup>	Rosenkranz 1998; Turner et al., 2009
$C_s$ [km <sup>-1</sup> mb <sup>-2</sup> GHz <sup>-2</sup> ]	Self-broadened water vapor continuum coefficient	1.8e <sup>-8</sup>	3.245e <sup>-9</sup>	Rosenkranz 1998; Turner et al., 2009
$n_{C_f}$ [unitless]	Foreign-broadened temperature dependence coefficient	3.0	0.6	Tretyakov, 2016
$n_{C_s}$ [unitless]	Self-broadened temperature dependence coefficient	7.5	0.6	Tretyakov, 2016



# 1. Review state-of-the-art

## Water Vapor (Rosenkranz 2017)

21 parameters in total

### Lines

15 water vapor absorption lines:  
22 GHz  
183 GHz  
13 in sub-mm range (321–916 GHz)

Parameter [units]	Meaning	Value @22GHz	Uncertainty @22GHz	Value @183GHz	Uncertainty @183GHz	Reference
$\nu_0$ [kHz]	Line frequency	22235079.85	0.05	183310087	1	Tretyakov, 2016
$\gamma_a$ [MHz/mb]	Air-broadening parameter	2.7227	0.1050	2.9447	0.0150	Tretyakov, 2016
$\gamma_w$ [MHz/mb]	Water-broadening parameter	13.2011	0.3750	14.7762	0.3750	Tretyakov, 2016
$n_a$ [unitless]	Temperature-exponent of air-broadening	0.70	0.05	0.74	0.03	Tretyakov, 2016
$n_w$ [unitless]	Temperature-exponent of water-broadening	1.20	0.05	0.78	0.08	Tretyakov, 2016
$S$ [Hz/cm <sup>2</sup> ]	Line strength	1.3161e <sup>-14</sup>	1.2891e <sup>-16</sup>	2.3222e <sup>-12</sup>	2.3084e <sup>-14</sup>	Tretyakov, 2016
$r_{s2w}$ [unitless]	Shift to width ratio	2.7548e <sup>-4</sup>	0.0275	-0.0245	0.0026	Tretyakov, 2016
$E_{low}$ [cm <sup>-1</sup> ]	Resonant line lower-state energy	446.5106590	4×10 <sup>-8</sup> %	136.163927	7×10 <sup>-7</sup> %	Tennyson et al. (2013)

Parameter [units]	Meaning	Value	Uncertainty	Reference
$n_s$	Resonant line intensity temperature-dependence exponent	2.5	0.5%	Gamache et al. (2017)



# 1. Review state-of-the-art

## Oxygen (Rosenkranz 2017)

298 parameters in total

49 oxygen absorption lines

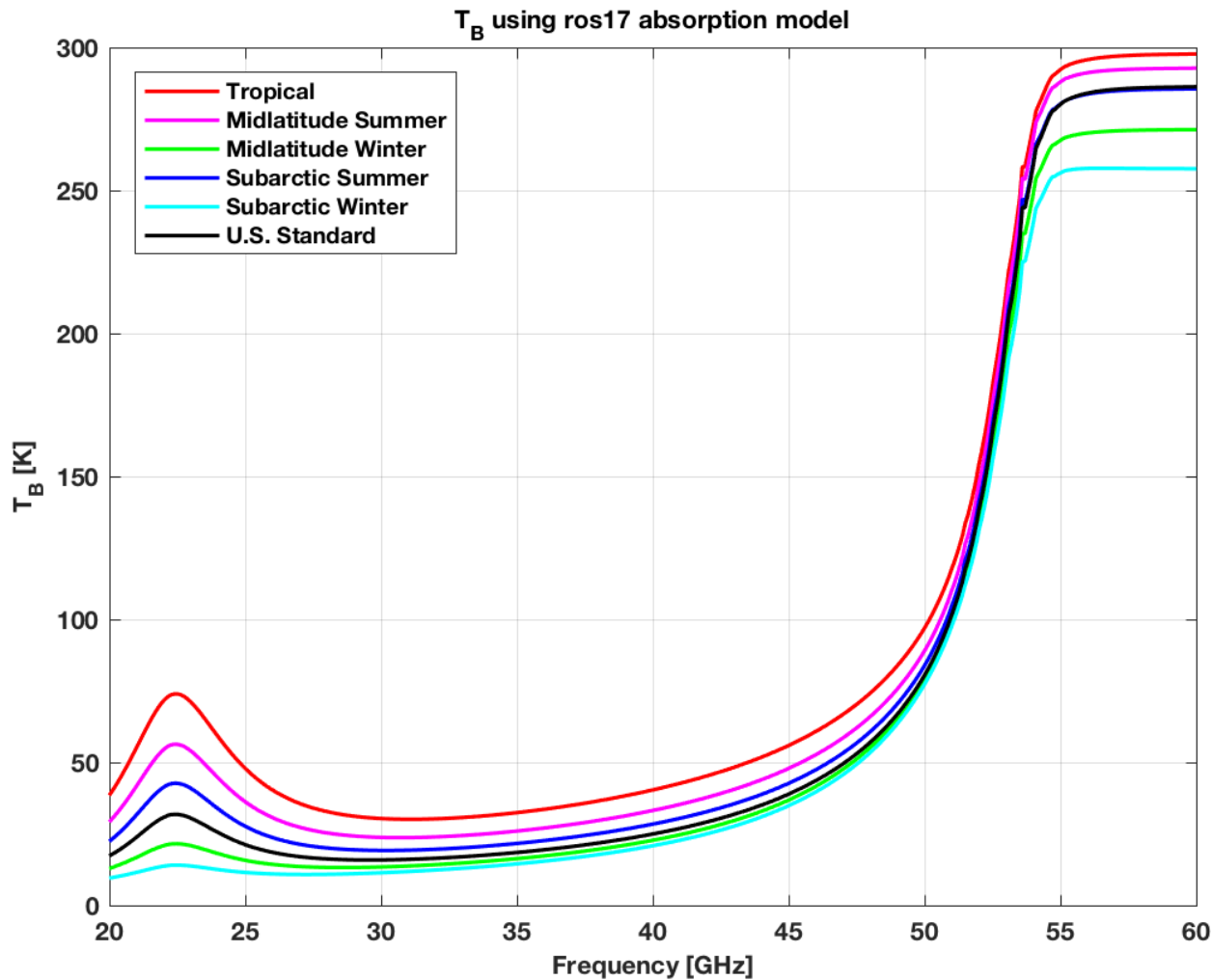
37 at 60 GHz  
1 at 118 GHz  
11 in mm sub-mm (233–895 GHz)

Par [units]	Meaning	Value	Uncertainty	Reference
$S_i$ [Hz/cm <sup>2</sup> ]	Line strength	HITRAN, 2012	1%	Tretyakov, Pers. Comm. 2016
$\nu_i$ [kHz]	Line frequency	Table 1	Table 1	Tretyakov et al., 2005
$n_a$ [unitless]	Temperature dependence of broadening coefficient for O <sub>2</sub> lines	0.80*	0.05*	Tretyakov et al., 2005
$n_s$ [unitless]	Resonant line intensity temperature- dependence exponent	2.0	0.1%	Gamache et al. (2017)
$r_{w2a}$ [unitless]	Water-to-air broadening ratio	1.20	0.05	Koshelev et al. 2015
$E_{low}$ [cm-1]	Resonant line lower-state energy	HITRAN 2004	0.25%	
$B_e$ [unitless]	Temperature-exponent for strength	HITRAN, 2012	<1%	Tretyakov, Pers. Comm. 2016
$\gamma_i$ [MHz/mb]	Pressure-broadening parameter	Table 5	Table 1 + calculations	Tretyakov et al., 2005 Rosenkranz, 2017 Pers. Comm.
$\gamma_0$ [MHz/mb]	Non-resonant pressure broadening width	Table 5	15%	Rosenkranz, 2017 Pers. Comm.
$y$ [1/bar]	Mixing coefficients	Table	Table	Rosenkranz, 2017 Pers. Comm.
$V$ [1/bar]	Mixing coefficients temperature dependence	Table 5 (last column)	20%	Rosenkranz, 2017 Pers. Comm.



# 1. Review state-of-the-art

- Typical  $T_B$  spectrum at 20-60 GHz

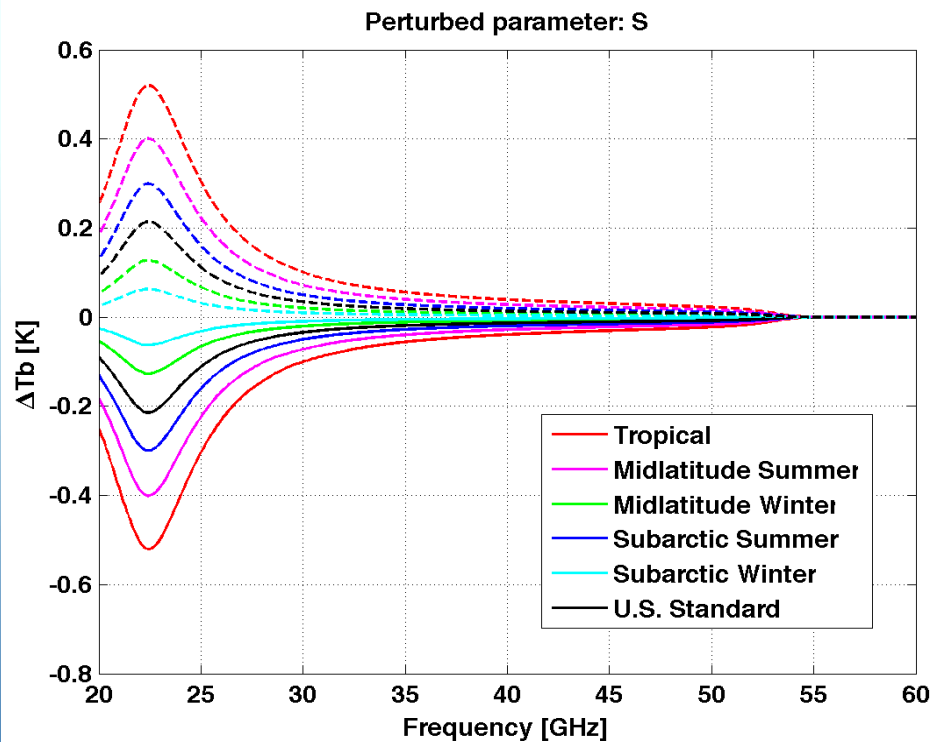


# 2. Sensitivity to model parameter uncertainty

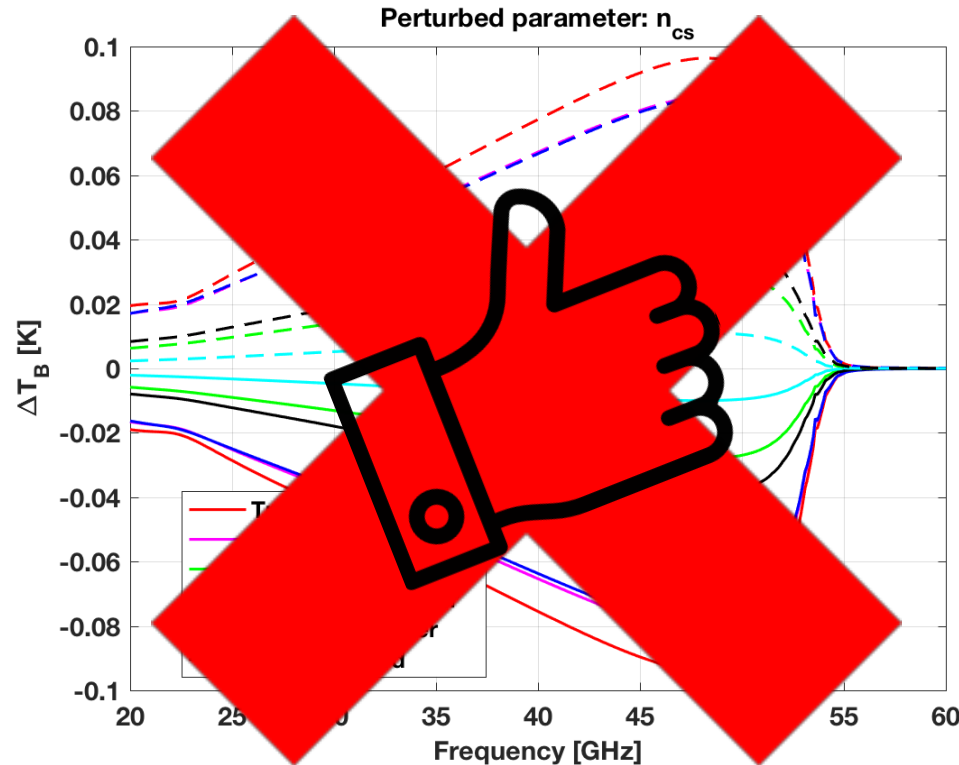
- Sensitivity to spectroscopic parameter uncertainty

$$T_B = F(\mathbf{p}) \quad \Delta T_B = T_B(p_i) - T_B(p_i \pm \sigma_{p_i})$$

WV line strength @ 22.2 GHz



WV self-continuum T exponent

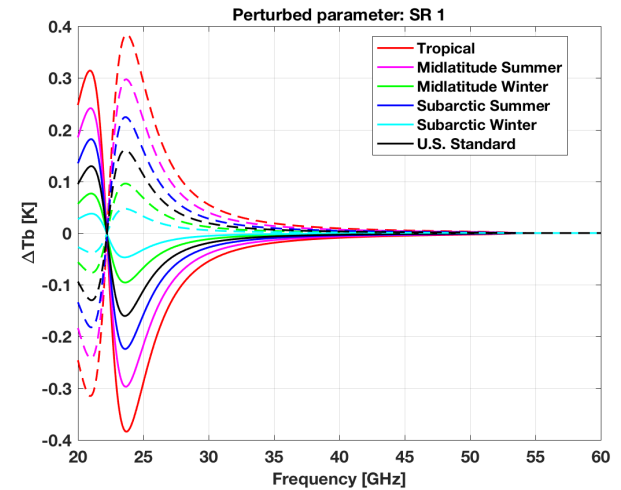
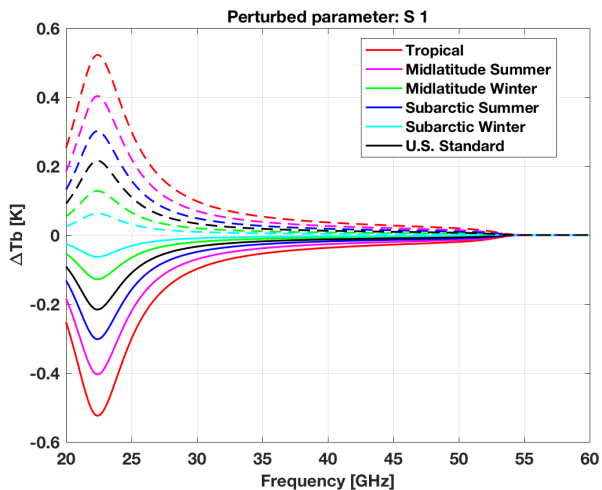
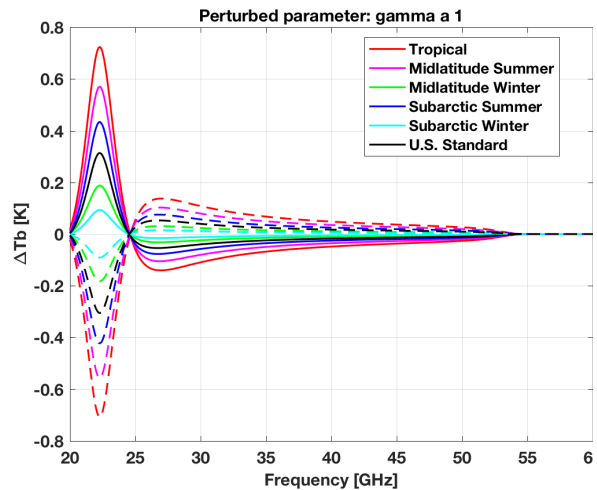
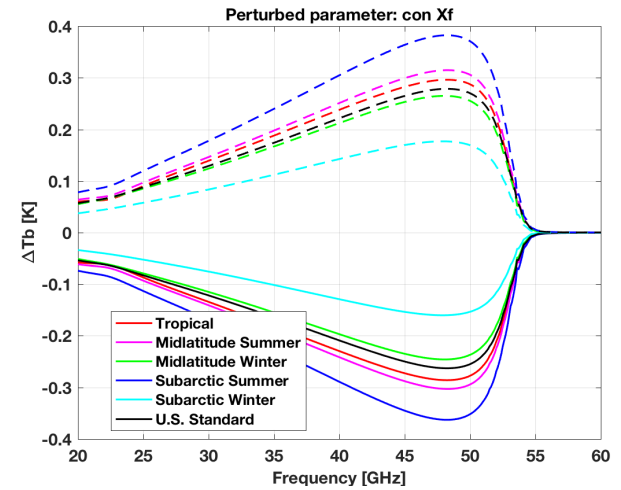
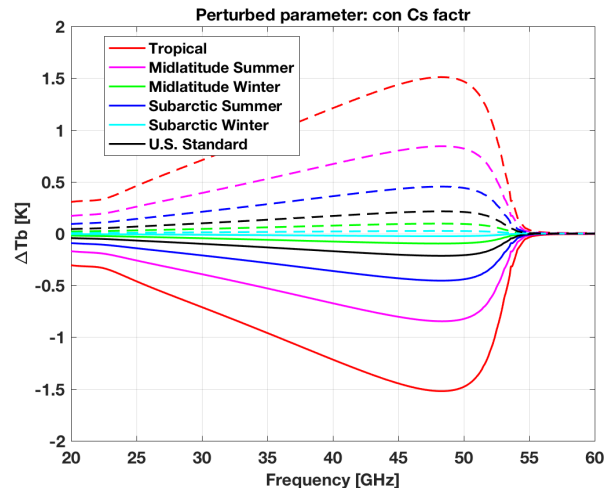
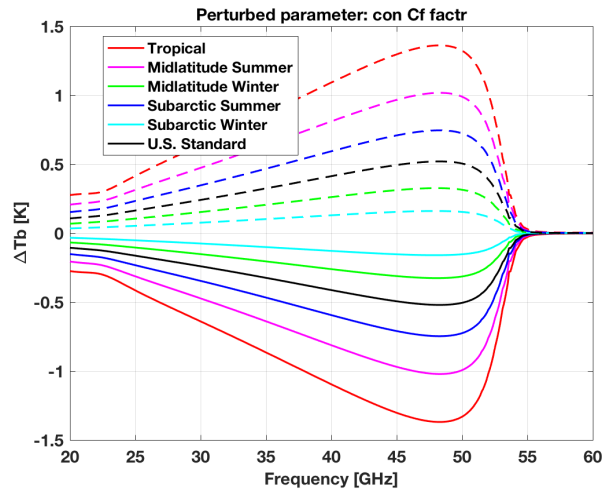


Solid lines correspond to negative perturbation (value - uncertainty)  
 Dashed lines correspond to positive perturbation (value + uncertainty)



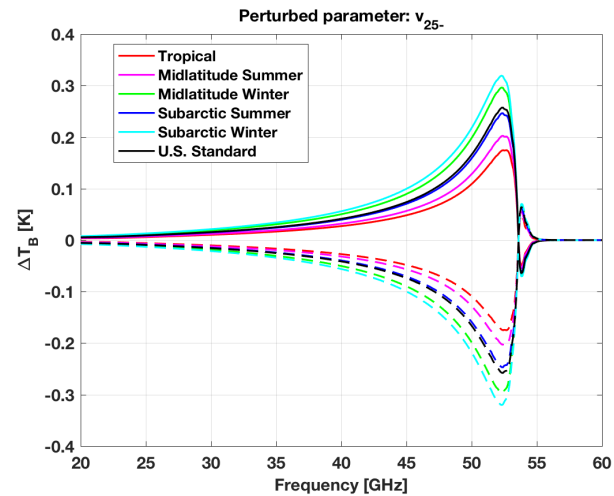
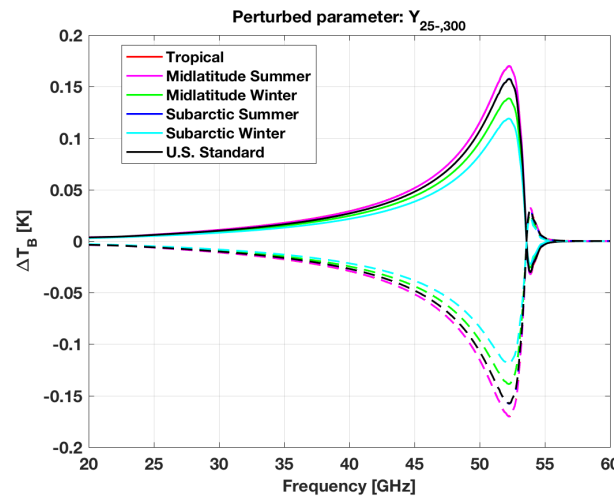
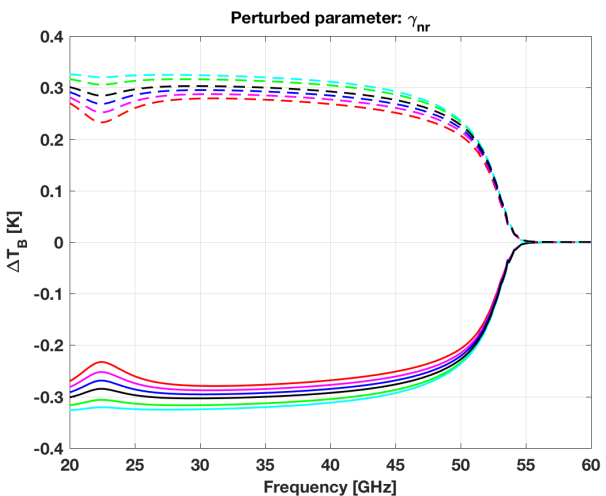
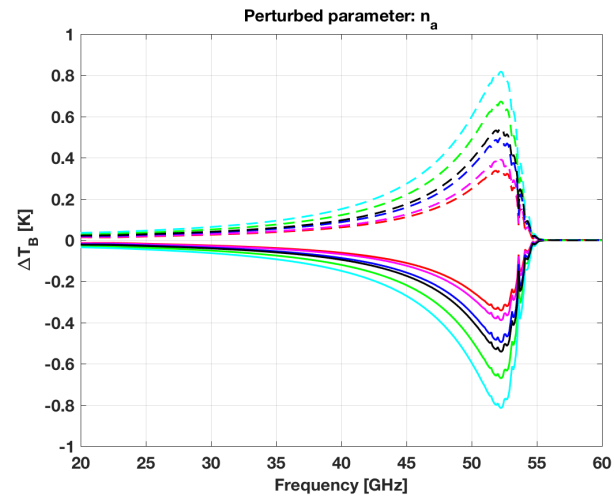
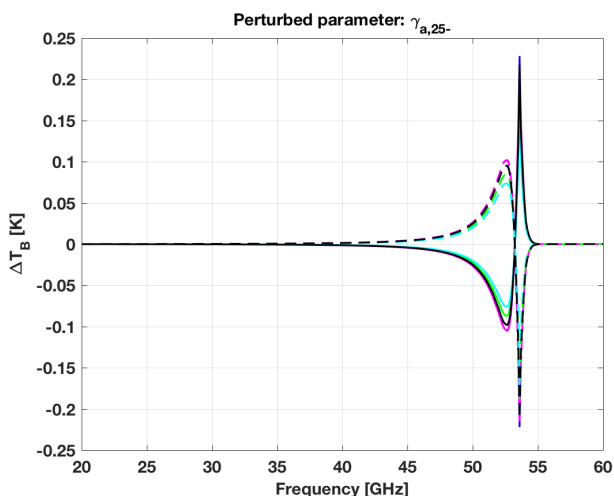
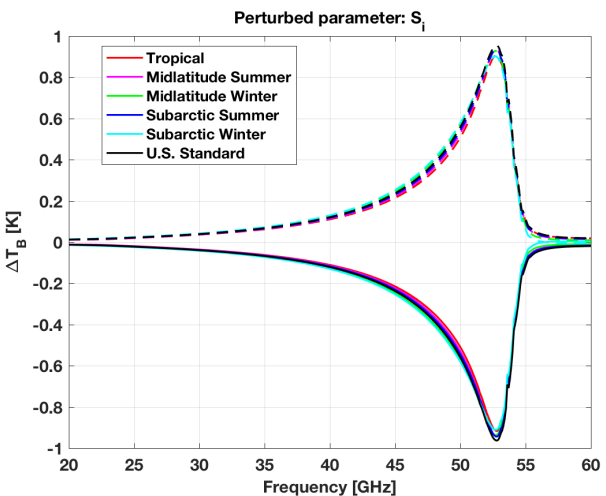
# 2. Sensitivity to model parameter uncertainty

- H<sub>2</sub>O: Among 21 parameters, 6 are found to be dominating



# 2. Sensitivity to model parameter uncertainty

- O<sub>2</sub>: Among 298 parameters, 105 are found to be dominating





### 3. Uncertainty covariance matrix

- Once the dominant terms are determined (111), the associated uncertainty shall be calculated

$$\mathbf{T}_B = \mathbf{F}(\mathbf{p}) \quad \text{where } \mathbf{T}_B \text{ and } \mathbf{p} \text{ are vectors}$$

$$\mathbf{T}_B \cong \mathbf{K}_p(\mathbf{p} - \mathbf{p}_0) + \mathbf{F}(\mathbf{p}_0)$$

$$\mathbf{Cov}(\mathbf{T}_B) \cong \mathbf{K}_p * \mathbf{Cov}(\mathbf{p}) * \mathbf{K}_p^T$$

$\mathbf{K}_p$  Jacobian of the measurement with respect to spectroscopic parameters

$\mathbf{Cov}(\mathbf{T}_B)$  Simulated measurement uncertainty covariance matrix due to p

$\mathbf{Cov}(\mathbf{p})$  Uncertainty covariance matrix of spectroscopic parameters p

- Off-diagonal terms of  $\mathbf{Cov}(\mathbf{p})$  need to be estimated
  - Lot of efforts, but... is it worth?



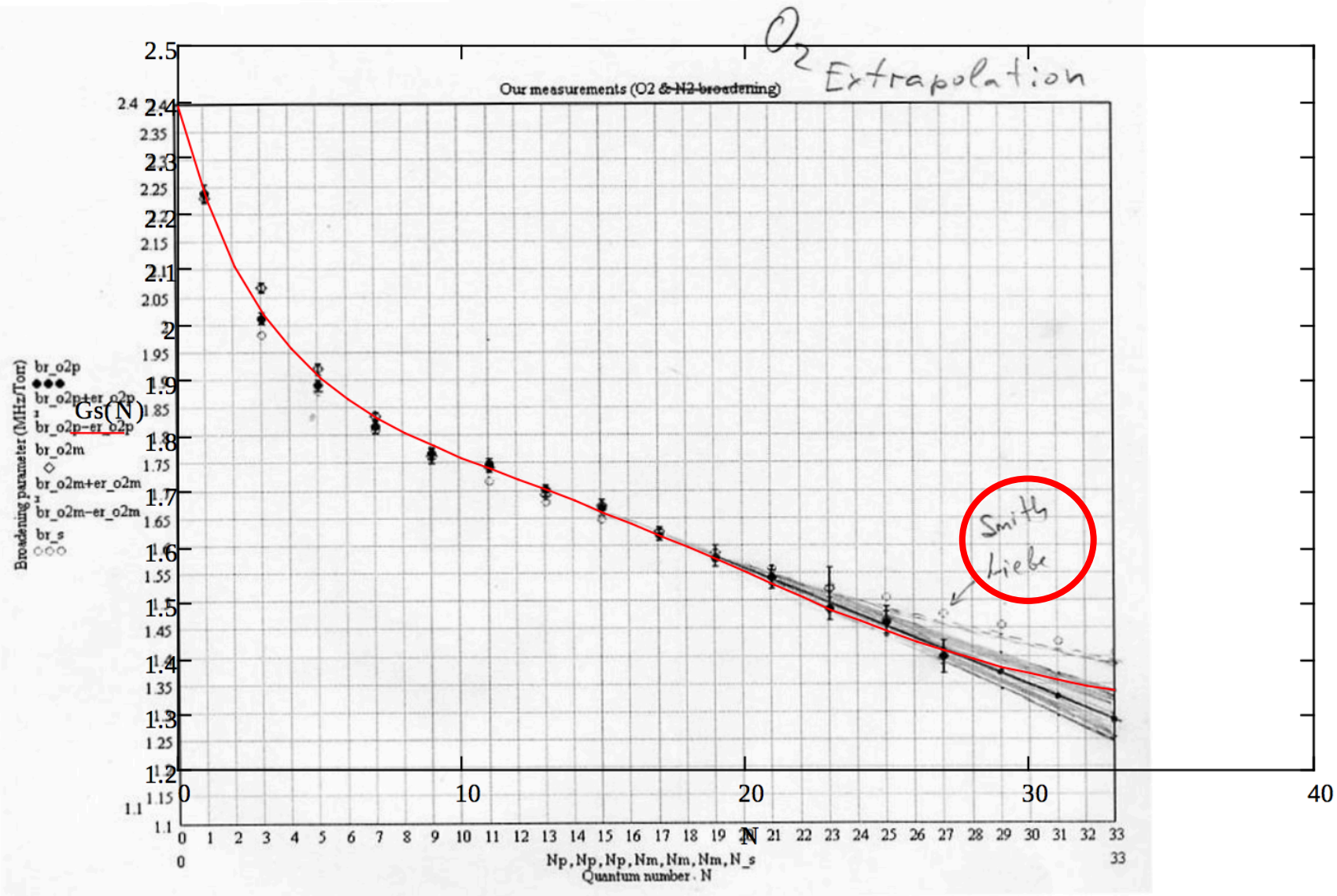
# 3. Uncertainty covariance matrix

- Major contribution from:
  - Phil Rosenkranz (MIT, USA)
  - Mikhail Tretyakov and Maksim Koshelev (IAP, RAS, RU)

$$\mathbf{Cov}(T_B) \cong \mathbf{K}_p * \mathbf{Cov}(p) * \mathbf{K}_p^T$$



# 3. Uncertainty covariance matrix

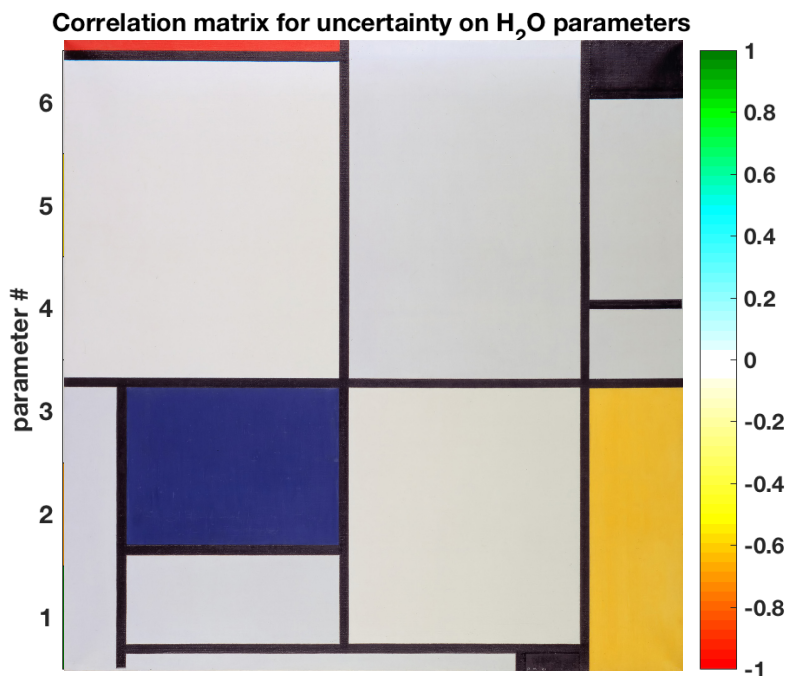


# 3. Uncertainty covariance matrix

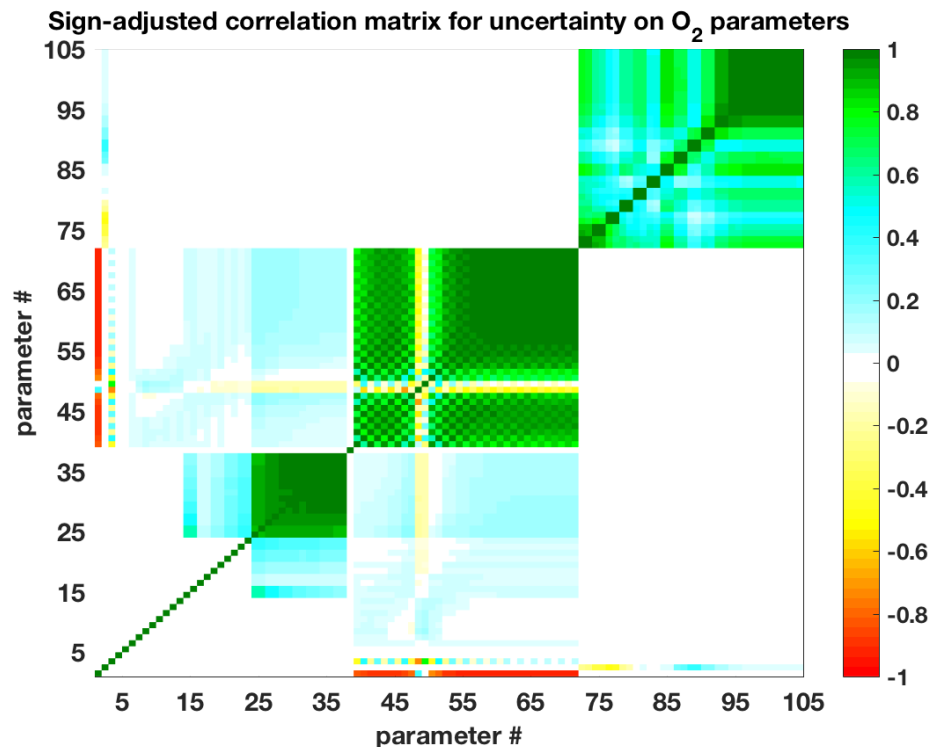
- Parameter uncertainty covariance  $Cov(p)$

## Water Vapor (6 parameters)

## Oxygen (105 parameters)



Piet Mondrian, Tableau I (1921)



Broadening      Mixing      Mixing  
T dependence



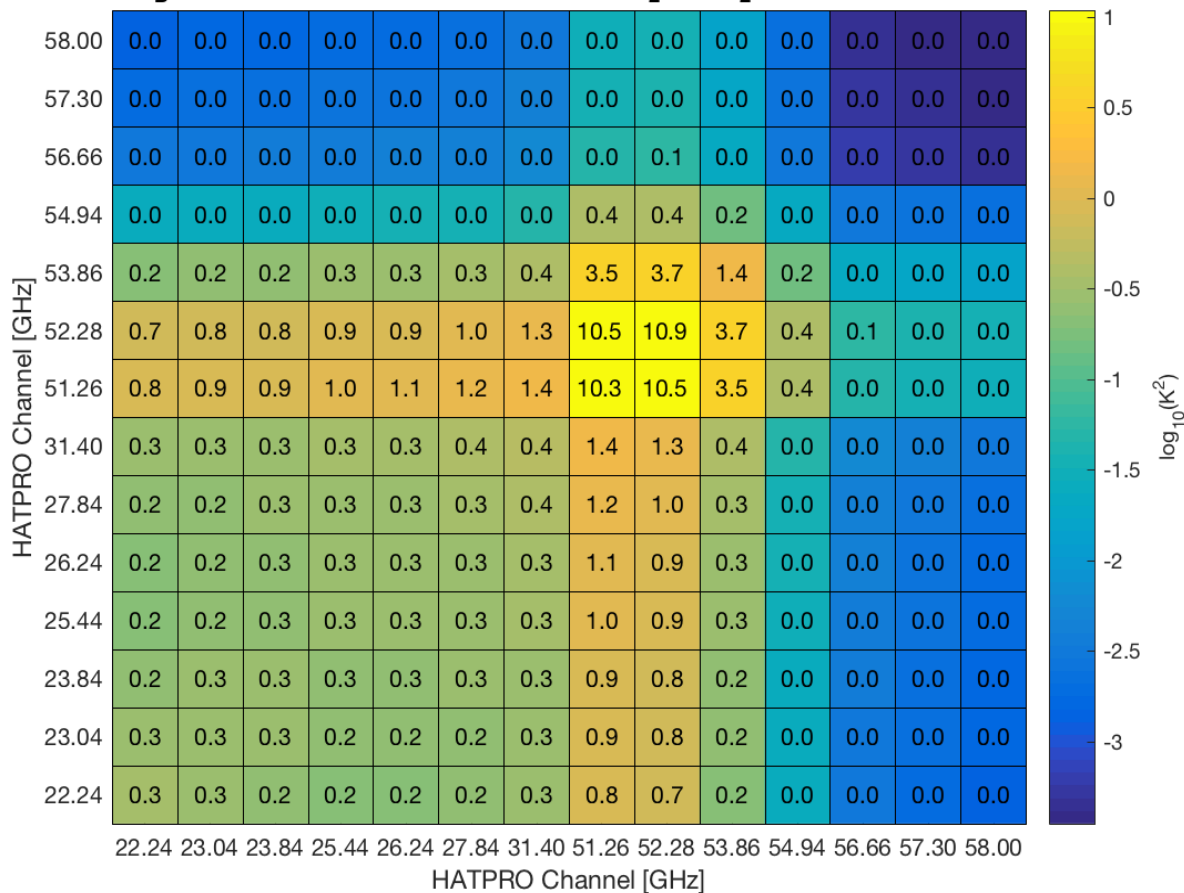
# 4. Uncertainty propagation

- Once  $\text{Cov}(p)$  is determined,  $\text{Cov}(T_B)$  can be easily computed:

$$\text{Cov}(T_B) \cong K_p * \text{Cov}(p) * K_p^T$$

Full  $T_B$  covariance matrix

Full  $T_B$  uncertainty covariance matrix due to  $O_2$  and  $H_2O$  parameter uncertainty

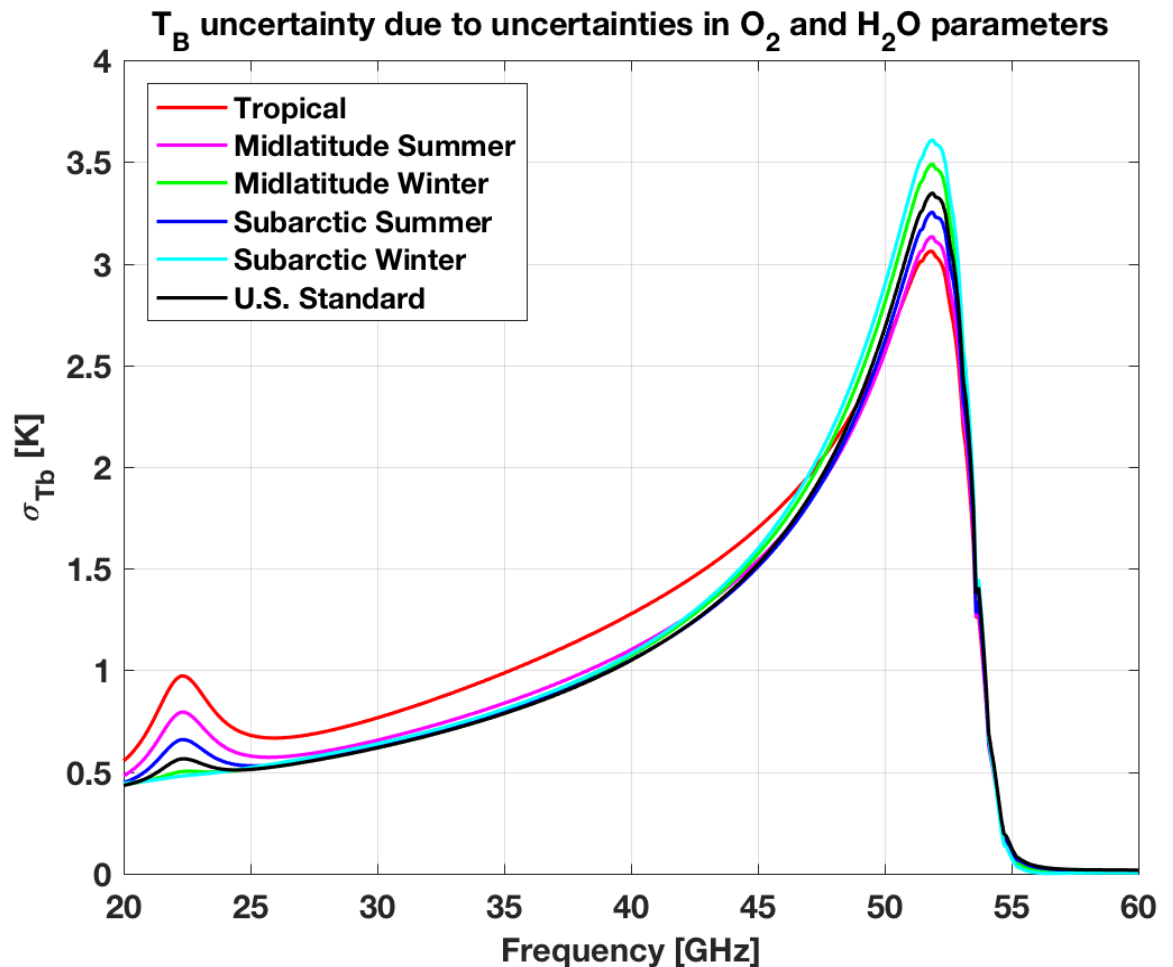


# 4. Uncertainty propagation

- Once  $\mathbf{Cov}(p)$  is determined,  $\mathbf{Cov}(T_B)$  can be easily computed:

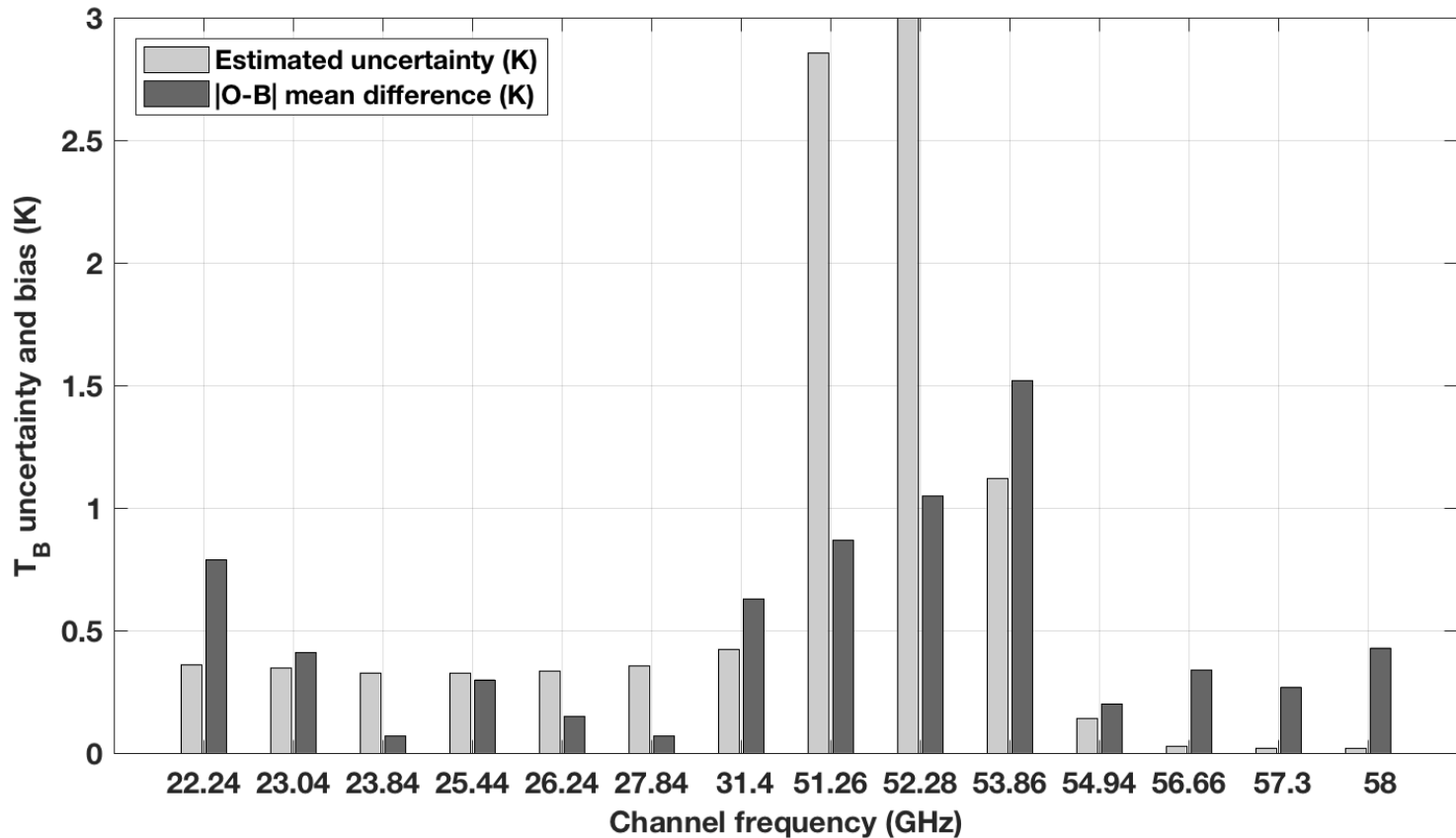
$$\mathbf{Cov}(T_B) \cong K_p * \mathbf{Cov}(p) * K_p^T$$

Total  $T_B$  uncertainty  
(diagonal terms)



# 4. Uncertainty propagation

- O-B stats vs FM uncertainty
  - Background: Arome West-Med + RTTOV-gb
  - Observations: HATPRO at JOYCE



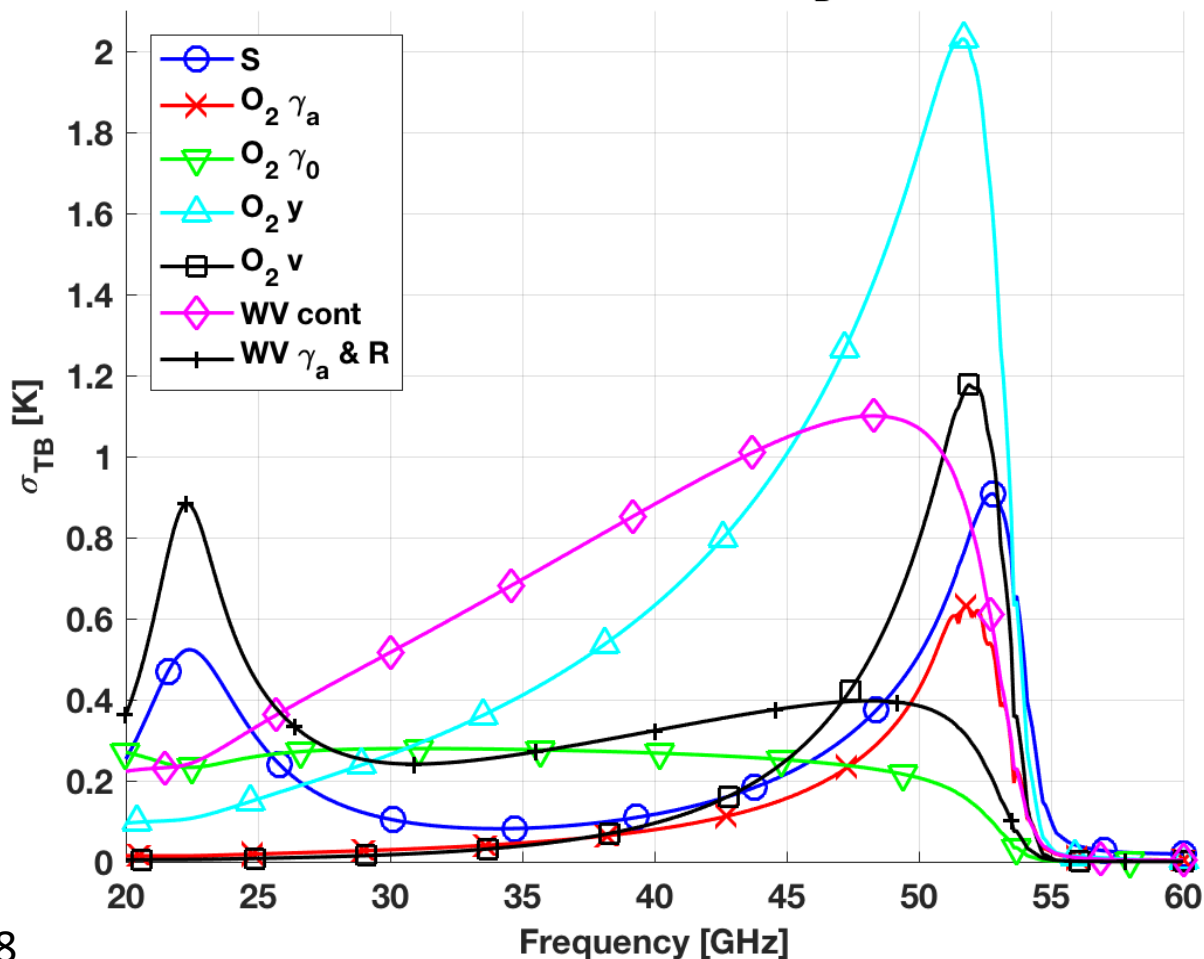
# 4. Uncertainty propagation

- Contributions  $T_B$  uncertainty

Tropical atmosphere

“Anatomy” of  $T_B$  uncertainty

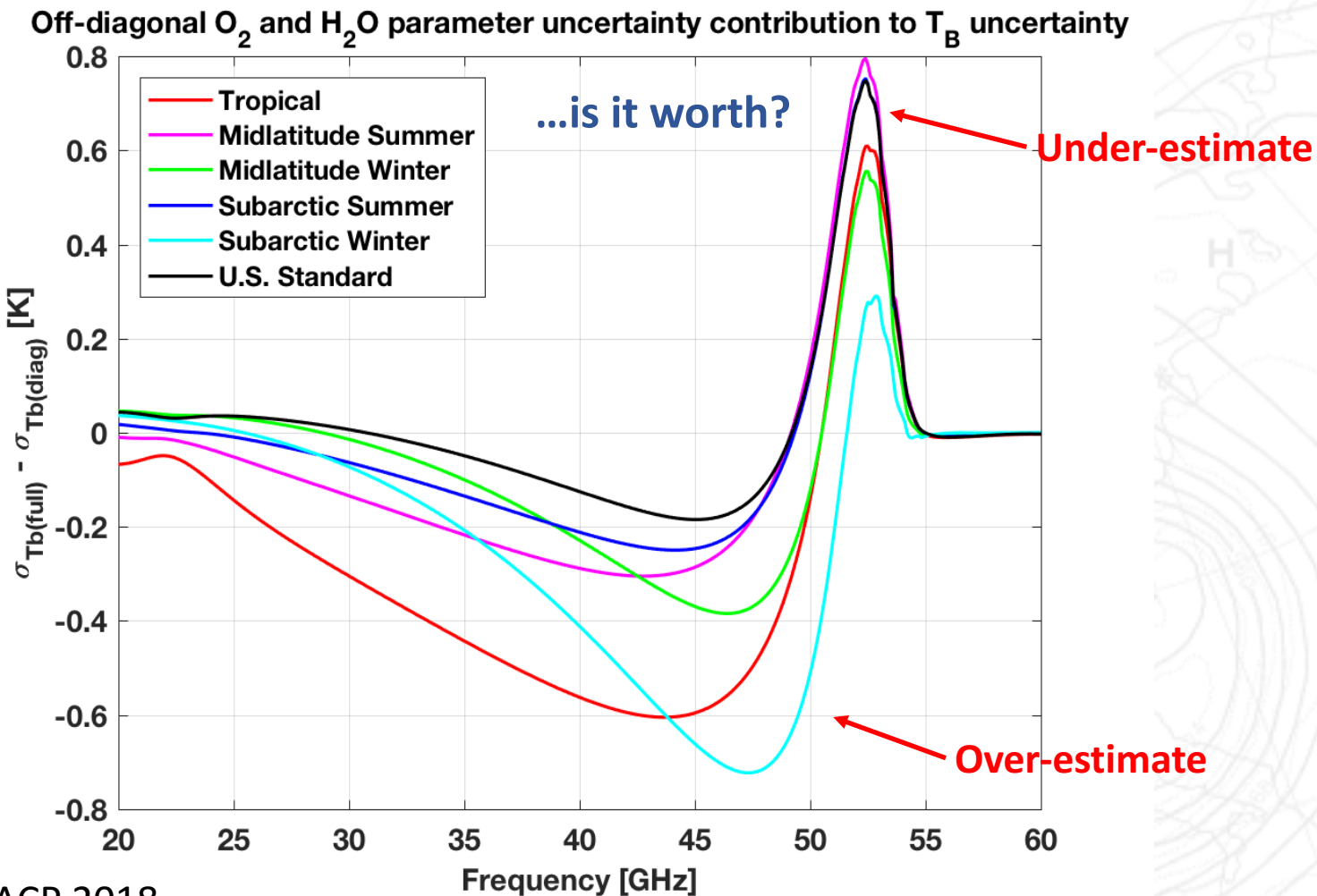
Parameter contribution to total  $T_B$  uncertainty





# 4. Uncertainty propagation

- Off-diagonal terms contributions to  $T_B$  uncertainty



# Summary of developed tools

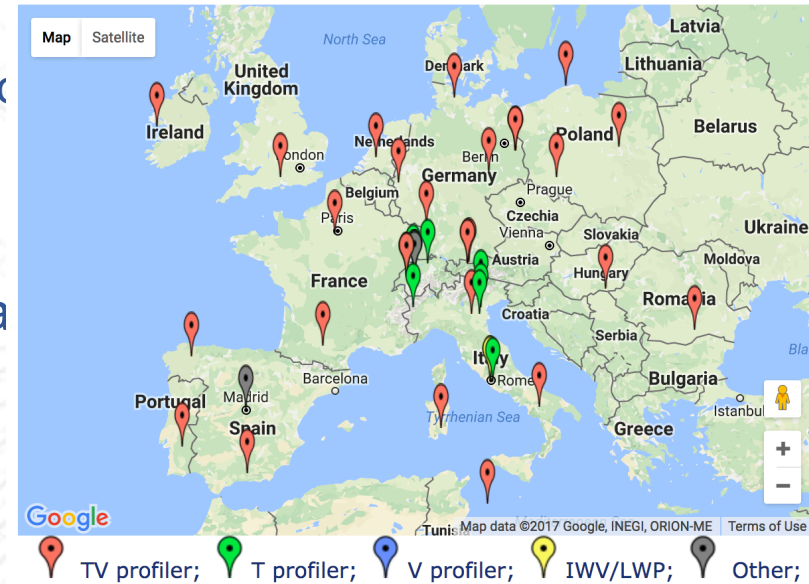
Towards operational use of ground-based MWR for improving NWP

- **RTTOV-gb**
  - fast FM for ground-based MWR
  - ingests atmospheric profiles
  - computes  $T_B$  and Jacobians
- **1DVAR**
  - inversion scheme
  - ingests ground-based MWR obs
  - computes retrievals of T and H profiles and LWP
- **Net1D**
  - network 1DVAR retrievals
  - ingests ground-based MWR obs from a network
  - computes 1DVAR retrievals consistent throughout the network



# Towards operational NWP service

- EUMETNET (Network of 31 EU Nations)
- EUMETNET Profiling Programme
  - vertical profiles of wind, aerosols and clouds
  - wind profilers
  - ceilometer/Lidar



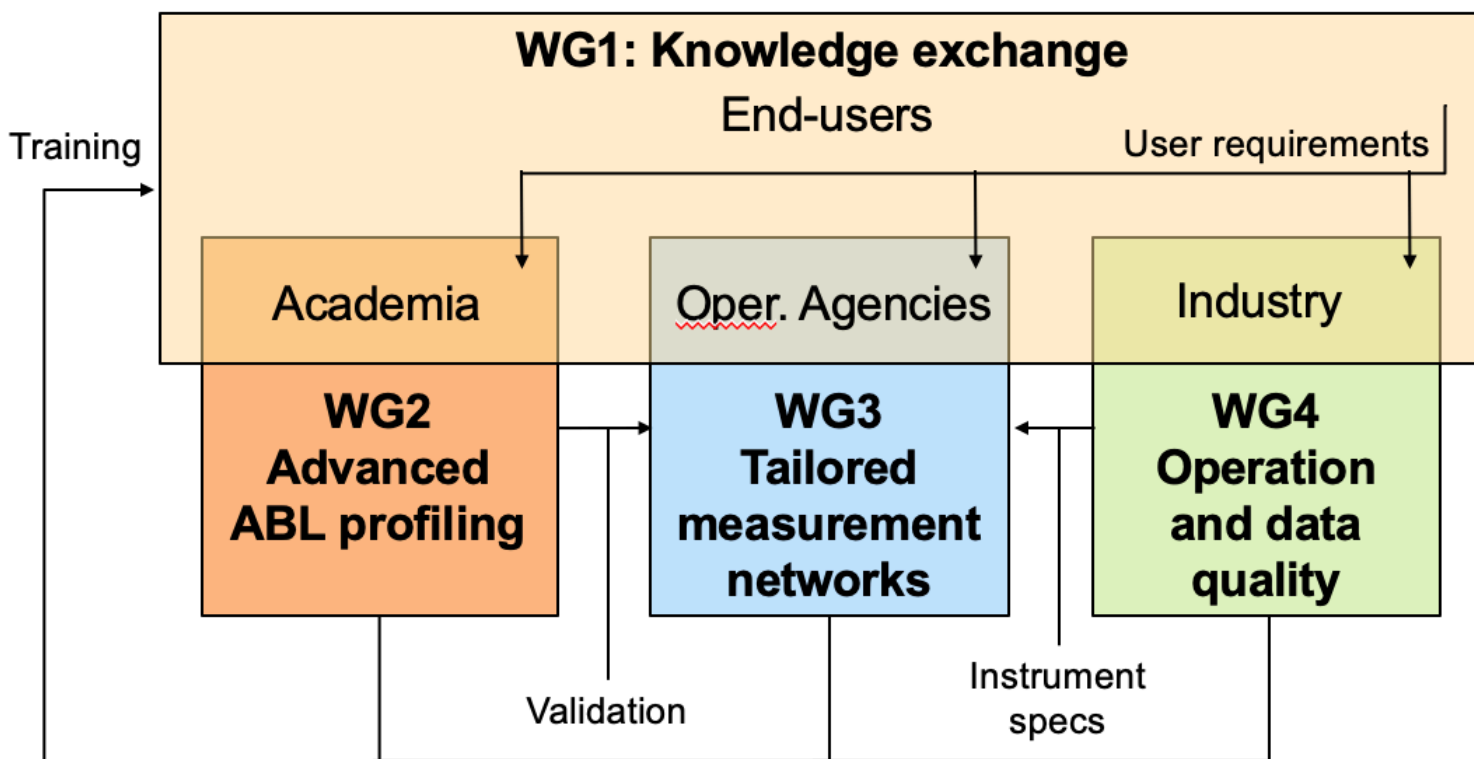
## □ Proposal to EUMETNET:

- Addition of MWR to E-PROFILE for profiling BL T and H
- Task-team to complete a business case within E-PROFILE 2<sup>nd</sup> phase (2019-2023)

# PROBE

**PRO**filming the atmospheric **B**oundary layer on the **E**uropean scale

- A new COST Action (approved 5/6/2019): Oct 2019 – Oct 2023



# Summary and conclusions

Recent achievements in ground-based microwave radiometry:

- NWP DA demonstration of a real network of MWR **First time ever!**
- Development of software tools:
  - RTTOV-gb                      Fast forward model
  - 1DVAR                         Inverse model
  - Net1D                         Network 1DVAR retrievals
- Uncertainty characterization
  - Absorption model uncertainty
- Towards operational exploitation
  - NWP
  - Climate



# Epilogue: Imposter syndrome

- Tips to fight Imposter syndrome:
  1. Be brave and take action
  2. In case of a recognition, don't discount your achievements: just say "Thank you"

Thank you very much for this honor and your kind attention!



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